



Revolutionizing Clinical Quality: A Frontier Approach with Quality by Design (QbD), Infrastructure Modernization and Data-Driven Analytics

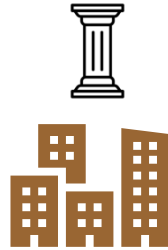
Priti Gupta and Dr. Deepa Balaji

Agenda



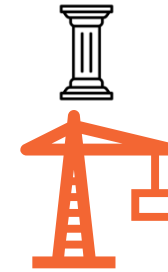
Why Risk Based Quality Management

The shift to proactive Quality



QbD Foundations

Designing quality upfront



Infrastructure Modernization

Near Real-Time Dashboards



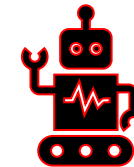
Three Stack Data Analytics

Unified eco system - Insights that drive actions



Case Studies including small trial

Scalable RBQM approaches demonstrating Real-World Implementation Wins



Innovation with AI

Future Forward with simulated trial intelligence and signal prediction



Lean Trial for Accelerated Outcomes – A case study

Smaller Size and Bigger Statistical Power



AI Regulatory Governance & Implementation roadmap

(Guidelines and Strategies for phasic adoption)



Final Thoughts

Operating models for sustainable quality



Why RBQM? The Evolution From Compliance to Competitive Advantage



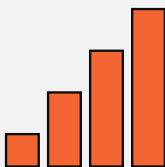
Data Volume Crisis

3.5M+ data points in phase III alone



Risk Proportionality

FDA and EMEA mandate end-to-end risk-based approaches.



Industry Shift: 53% → 96%

RBQM adoption skyrocketed from 2019 to 2024 by almost two folds.

THE INFLECTION POINT From Reactive to Proactive

Traditional: Find issues post-hoc.
Assess Damage. Fix Processes

RBQM: Predict risks upfront. Prevent
Issues. Implement Quality by Design.

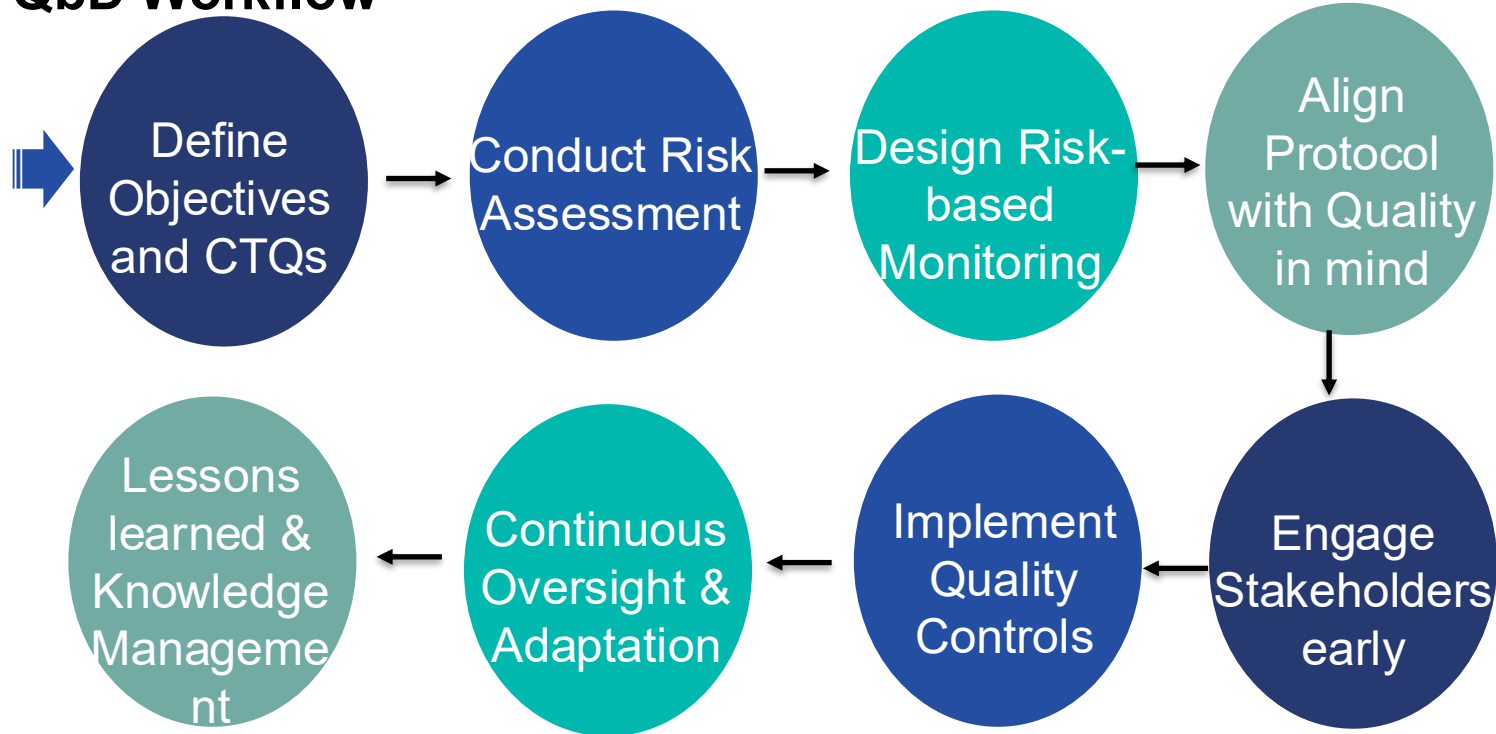
Impact: Transition from firefighters to
architects

How Quality By Design(QbD) Elevates Clinical Trial Quality



Data Quality Improvements		
Higher first-time data entry accuracy ↑	Lower Query Rates ↓	Less Protocol Deviations ↓
Faster data base lock speed	Fewer Protocol amendments	Better Risk Handling

QbD Workflow



OUTCOMES

Operational Efficiency	Reliable Decisions	Regulatory Success
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Infrastructure Modernization

The Tech Stack Evolution

Traditional Modern Stack

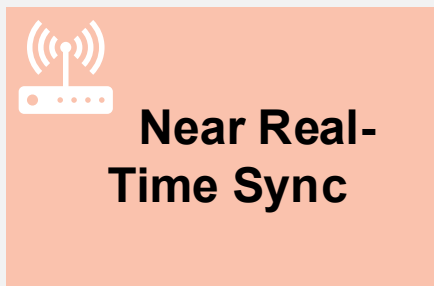
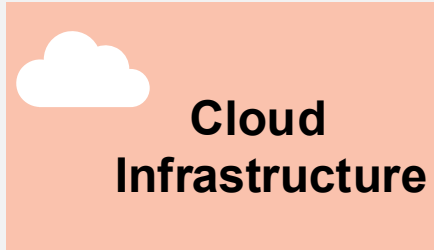
Paper CRFs → Cloud EDC

Site Visits → Remote Monitoring

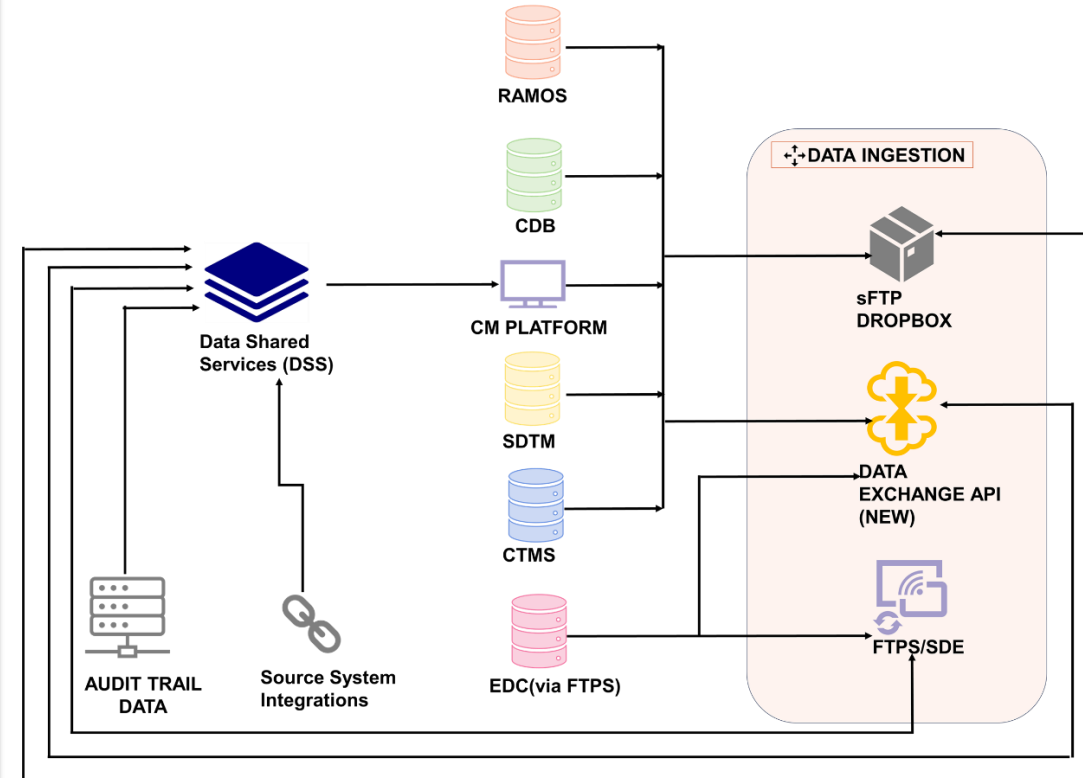
Manual SDV → Centralized Monitoring

Siloed Systems → Integrated Platforms

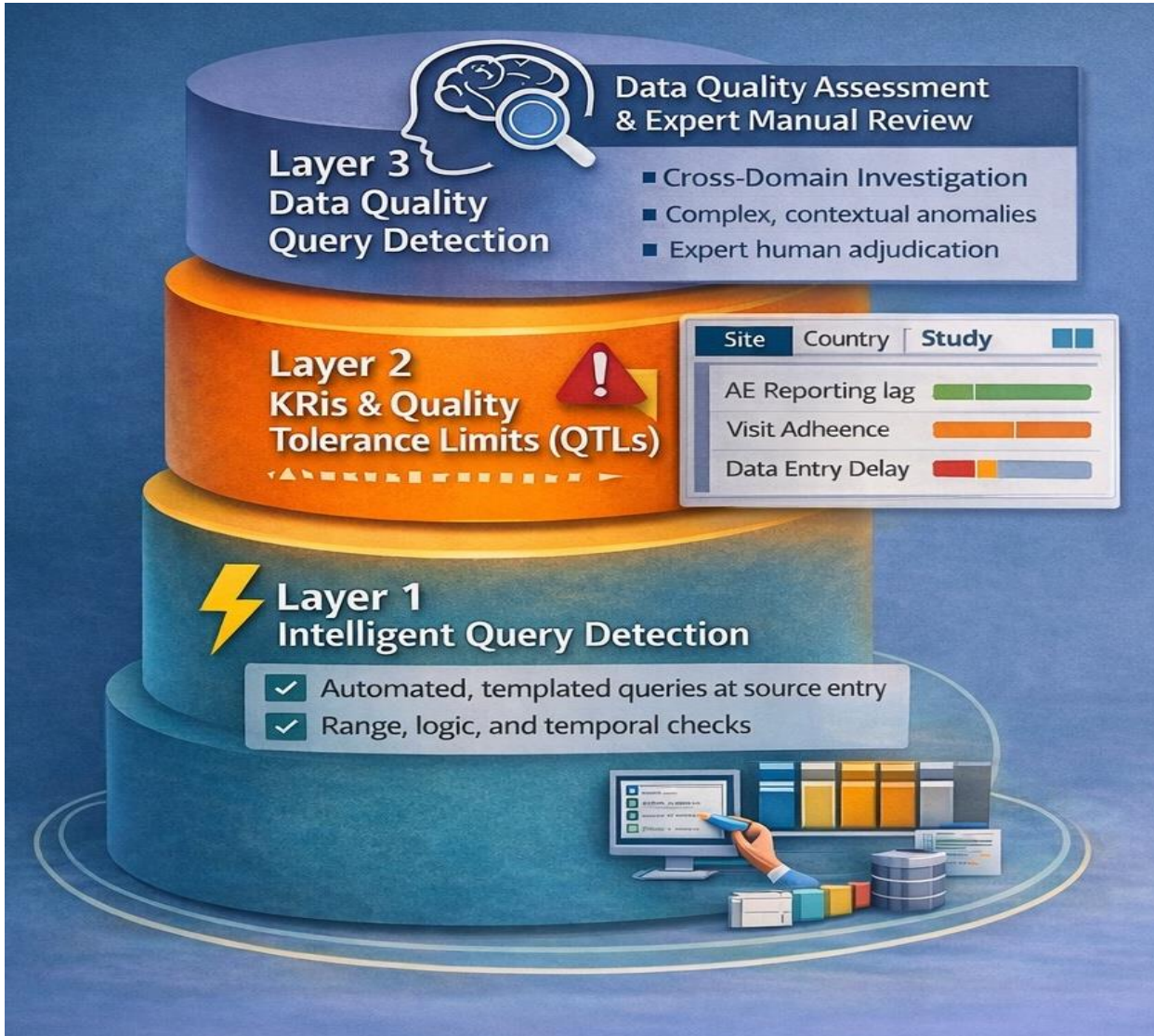
Batch Reports → Near Real-Time Dashboards



The Enablers



THREE-LAYER QUALITY OVERSIGHT MODEL – Proactive, Proportionate & Human-Centred



↑ Safety Signals Detected | **6-12 weeks earlier**

Aligned with QbD, Infrastructure Modernization & ICH E6(R3) expectations.

CASE STUDY 1

LARGE PHASE III ONCOLOGY TRIAL

Number of Participants enrolled: 300

Sites: 40 global

CTQ Factors:

- i. Imaging-based assessment of tumor response
- i. Timely SAE reporting
- ii. Correct dosing

Adapted Framework



Key Outcomes



Duplicate enrolment prevention



Improvement in efficacy data



Safety Reporting Timeliness

Estimated cost avoidance was approximately USD 150,000-200,000 in avoided monitoring, reanalysis and remediation work.

CASE STUDY 2

SMALL PHASE 1 RARE DISEASE STUDY

Dose-escalation study

Indication: Rare metabolic disorder

Number of Participants enrolled: 24 **Sites:** 3

Adapted Framework



Challenges:

- i. Low statistical power
- ii. High duplicate enrollment
- iii. Resource constraints
- iv. Manual data entry

Key Outcomes



Eligibility Flag Identification



Early safety signal detection



Data quality improvement

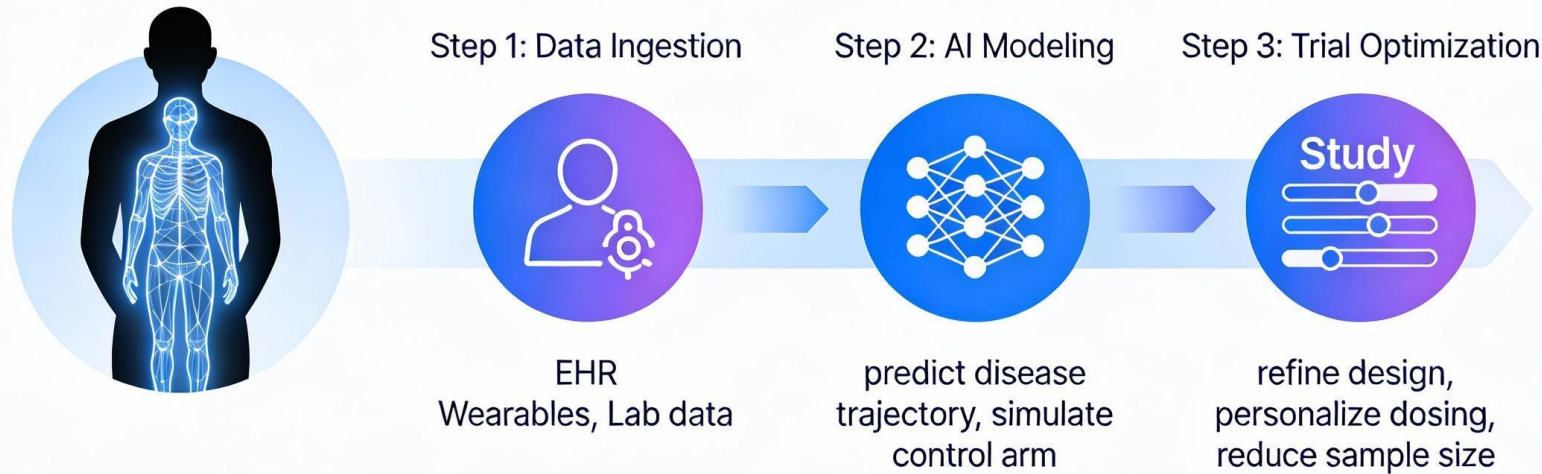
Cost avoidance relative to traditional weekly on-site visits: approximately USD 40,000-60,000 over the study duration.



Advanced Analytics and AI/ML Integration

Digital Twins in Clinical Trials

A digital twin is a virtual replica of an individual patient or trial that uses real data and AI models to simulate outcomes



Key Benefits

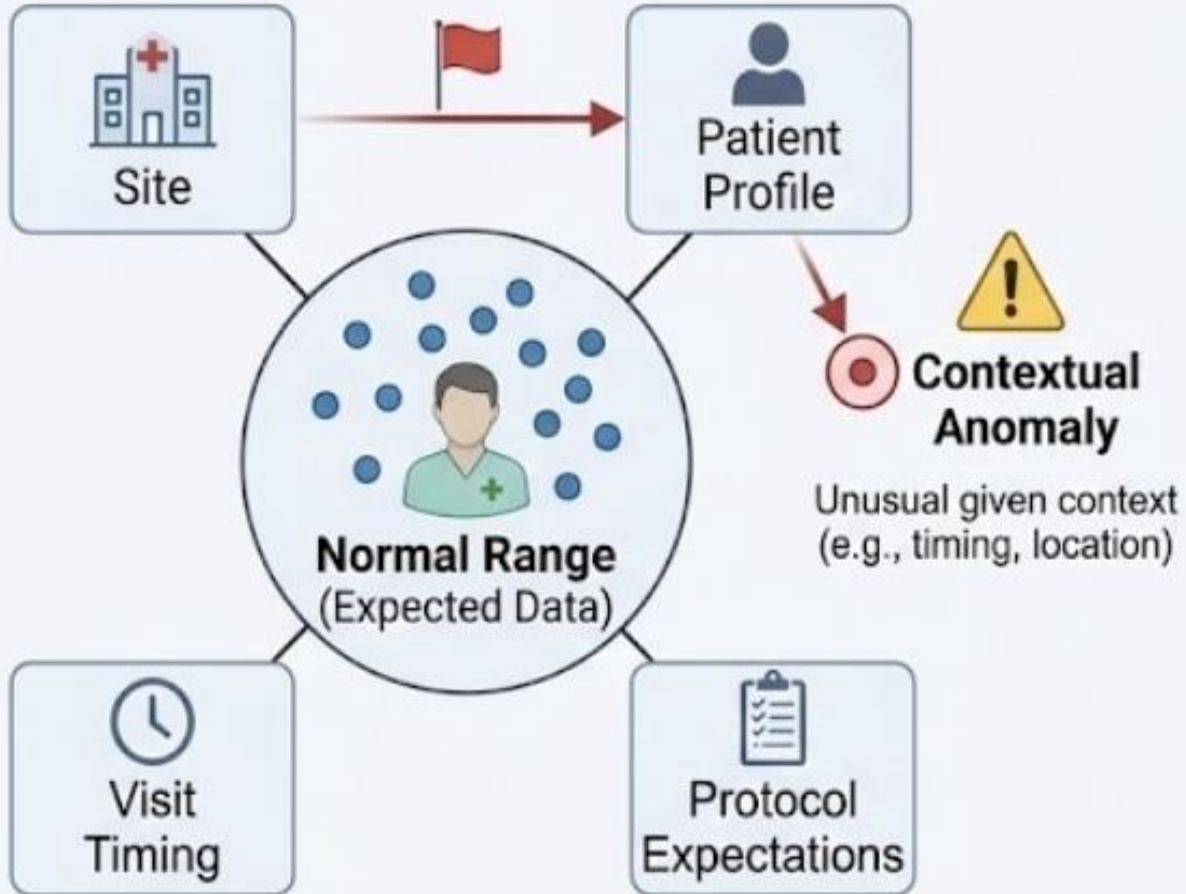
- ✓ Faster trial timelines
- ✓ Improved patient safety
- ✓ Smaller or synthetic control arms
- ✓ More personalized insights

Challenges

- 🔗 data quality
- 📄 bias & fairness
- 📄 regulatory acceptance
- 🔒 privacy & security

Contextual Anomaly Detection and Symptom Clustering

1. Contextual Anomaly Detection (CAD)

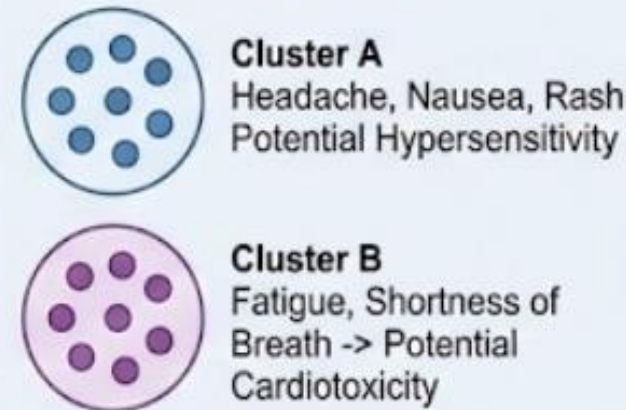


2. Symptom Clustering

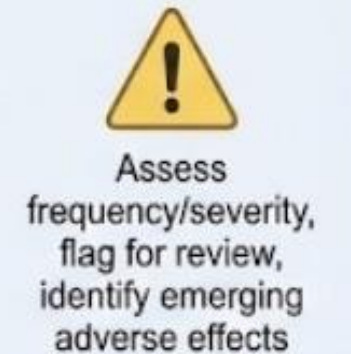
Data Collection & Patient Clustering



Cluster Analysis



Medical Review & Safety Signal



Signals: Protocol Deviations, Atypical Site Behaviour, Inconsistent Data, Risk to Data Integrity/Safety

Enhanced Data Integrity, Patient Safety and Compliance

Dose Optimization & Digital Twin Approach: Phase II Diabetes Trial Case Study

Study Design



Phase II Trial in Type 2 Diabetes

Goal: Reduce Sample Size & Accelerate Timeline



Future-State Approach



Dose Optimization

Early Lead-In Cohort



Digital Twin Augmentation

Virtual Control Patients



Three-Layer Analytical Model

CAD, AI Alerts & KRIs



33% Smaller Size

360 → 240 Patients



88–90% Power

↑ Statistical Power



3 Months Faster

12 → 9 Months Timeline



\$3.5M–\$4M Saved

Summary of Benefits

Sample Size ▶ 360 → 240 (-33%)

Statistical Power ▶ 80% → 88–90%

Timeline ▶ 12 Months → 9 Months

Cost Savings ▶ \$9M → \$5M

Smaller, Faster Trials with Enhanced Power & Lower Costs

EMA – FDA 10 Guiding Principles for Good AI Practice

- 1 Human-centric by Design
- 2 Risk-based Approach
- 3 Adherence to Standards
- 4 Clear Context of Use
- 5 Multidisciplinary Expertise
- 6 Data Governance & Documentation
- 7 Model Design & Development Practices
- 8 Risk-based Performance Assessment
- 9 Lifecycle Management
- 10 Clear, Essential Information



Created with Chat GPT

The Frontier of Clinical Quality Oversight

Current State

Closed-loop, Risk-Proportionate Quality System

Quality by Design (QbD)



Embed CtQ factors & proportionate monitoring



Infrastructure Modernization



Unified cloud data ecosystem & sub-24h latency



Data-Driven Analytics



Layered analytics: IQD, KRIs/QTLs, Expert Review



Fit for Purpose Data



Future State

AI-Enhanced, Data-Driven Quality Oversight



- ✓ Digital Twins & Synthetic Data
- ✓ Contextual Anomaly Detection
- ✓ AI/ML-Driven Automation
- ✓ Predictive, real-time quality oversight



Proactive Risk Detection



Faster Decisions



Regulatory Compliance

Frontier Quality = Safety + Efficacy + Compliance