

Data Handling for Subjects Participating in Multiple Cohorts in Oncology Platform Trials: Considerations for Data Collection, SDTM, and ADaM Mappings

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Abstract

Platform trials have emerged as a transformative approach in oncology research, facilitating the investigation of multiple targeted therapies across diverse cohorts based on molecular subtypes. These trials offer the unique advantage of flexibility, allowing for the exclusion of ineffective interventions and the seamless addition of new treatments during the trial. Notably, platform trials may encounter scenarios where subjects exhibit multiple molecular subtypes, initially enrolling in one cohort, but the cohort being discontinued at an interim analysis due to lack of efficacy. The eligible subjects from the discontinued cohort are re-screened and re-enrolled in a newly added cohort. The poster presents practical examples of trial design domains, data collection methodologies for re-enrollers, emphasizing the SDTM data mapping to essential domains such as DM (Demographics) and DC (Demographics as Collected). The collection and mapping of biomarker data are also discussed. Additionally, it highlights the handling of data for analysis purposes, presenting subject-level data in ADSL, ADTP (Trial Participation Analysis Dataset), and laboratory data in ADLB.

Platform Trial - Ability to drop arms early and flexibility to add new arms- the scenario for the re-enrolled subjects

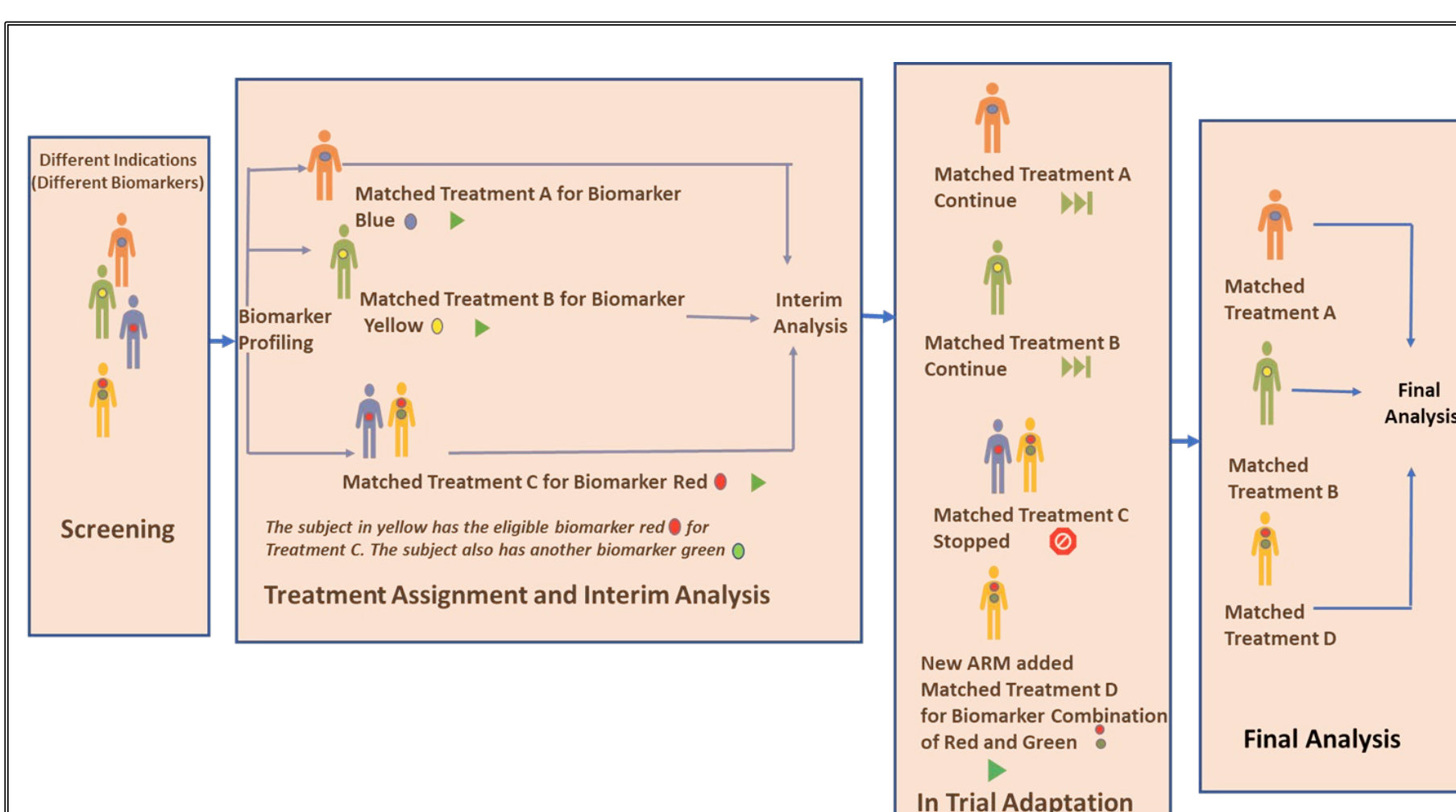


Fig.1: Platform Trial with Re-enrollment. This figure shows a platform trial with subjects assigned to treatments (A, B, C) based on their biomarker colors (blue, yellow, red). Treatment C is discontinued, and a new treatment arm (D) is added for subjects with a red-green biomarker combination. Subjects with both red and green biomarkers are re-enrolled in Treatment D. The final analysis includes Treatments A, B, and D.

Representation of Platform Trial in the database using SDTM Trial Arms (TA) & Trial Elements (TE)

ta.xpt										te.xpt									
STUDYID	DOMAIN	ARMCD	ARM	TATFORD	ETCD	Element	TATBRANCH	TATTRANS	EPOCH	STUDYID	DOMAIN	ITEM	SCN	Element	ITEM	INFORM	TEVAL		
ABC123	TA	A	TRTA	1	SCN	Screen	Assigned to Treatment A following biomarker match		SCREENING	ABC123	TE	SCN	Screen	Matched Treatment A	TEVAL	At either end of trial or if Matched Treatment A meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment A meets stopping rules at any pre-planned interim analysis, whichever comes first.		
ABC123	TA	A	TRTA	2	TRTA	A	Matched Treatment	If early stop due to interim analysis, start new treatment matching the biomarker allowed by the protocol	TREATMENT	ABC123	TE	TRTA	Matched Treatment A	TEVAL	At either end of trial or if Matched Treatment A meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment A meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	A	TRTA	3	FU	Follow-up	Matched Treatment	Assigned to Treatment B following biomarker match	TREATMENT FOLLOW-UP	ABC123	TE	TRTB	Matched Treatment B	TEVAL	At either end of trial or if Matched Treatment B meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment B meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	B	TRTB	1	SCN	Screen	Assigned to Treatment B following biomarker match		SCREENING	ABC123	TE	TRTB	Matched Treatment B	TEVAL	At either end of trial or if Matched Treatment B meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment B meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	B	TRTB	2	TRTB	B	Matched Treatment	If early stop due to interim analysis, start new treatment matching the biomarker allowed by the protocol	TREATMENT	ABC123	TE	TRTB	Matched Treatment B	TEVAL	At either end of trial or if Matched Treatment B meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment B meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	B	TRTB	3	FU	Follow-up	Matched Treatment	Assigned to Treatment C following biomarker match	TREATMENT FOLLOW-UP	ABC123	TE	FU	Follow-up	Matched Treatment C	TEVAL	At either end of trial or if Matched Treatment C meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment C meets stopping rules at any pre-planned interim analysis, whichever comes first.		
ABC123	TA	C	TRTC	1	SCN	Screen	Assigned to Treatment C following biomarker match		SCREENING	ABC123	TE	TRTC	Matched Treatment C	TEVAL	At either end of trial or if Matched Treatment C meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment C meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	C	TRTC	2	TRTC	C	Matched Treatment	If early stop due to interim analysis, start new treatment matching the biomarker allowed by the protocol	TREATMENT	ABC123	TE	TRTC	Matched Treatment C	TEVAL	At either end of trial or if Matched Treatment C meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment C meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	C	TRTC	3	FU	Follow-up	Matched Treatment	Assigned to Treatment D following biomarker match	TREATMENT FOLLOW-UP	ABC123	TE	TRTC	Matched Treatment C	TEVAL	At either end of trial or if Matched Treatment C meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment C meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	D	TRTD	1	SCN	Screen	Assigned to Treatment D following biomarker match		SCREENING	ABC123	TE	TRTD	Matched Treatment D	TEVAL	At either end of trial or if Matched Treatment D meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment D meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	D	TRTD	2	TRTD	D	Matched Treatment	If early stop due to interim analysis, start new treatment matching the biomarker allowed by the protocol	TREATMENT	ABC123	TE	TRTD	Matched Treatment D	TEVAL	At either end of trial or if Matched Treatment D meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment D meets stopping rules at any pre-planned interim analysis, whichever comes first.			
ABC123	TA	D	TRTD	3	FU	Follow-up	Matched Treatment	Assigned to Treatment D following biomarker match	TREATMENT FOLLOW-UP	ABC123	TE	FU	Follow-up	Matched Treatment D	TEVAL	At either end of trial or if Matched Treatment D meets stopping rules at any pre-planned interim analysis, whichever comes first.	At either end of trial or if Matched Treatment D meets stopping rules at any pre-planned interim analysis, whichever comes first.		

Fig.2: Representation of Trial Arms (TA) & Trial Elements (TE): The TA dataset captures Trial Arms and Elements. The Platform trial requires the use of the TATTRANS variable to represent the rule describing the conditions under which a subject will go to the new ARM. The Trial Elements (TE) dataset contains the definitions of the elements that appear in the Trial Arms (TA) dataset.

Data Collection, SDTM Mapping of Data to DC & DM Domains

[L04 = Demographics] [DC = Demographics also collected]	
SUBJECT NUMBER ASSIGNMENT (SNA)	
1. Subject Number	☐ Subject Number ☒ SUPPDC SUBJID
2. Treatment Assignment	☐ Treatment A ☐ Treatment B ☒ Treatment C ☐ Treatment D ☐ No ☒ SUPPDC DCSEQ WHEN DCARM = DCREFVTEC
3. Did the Subject Enroll in Previous Treatment?	☐ No ☒ Yes ☒ SUPPDC DCSEQ WHEN DCARM = DCREFVTEC
4. Previous Subject Number	☐ Subject Number ☒ SUPPDC DCSEQ WHEN DCARM = DCREFVTEC
5. Previous Treatment Assignment	☐ Treatment A ☐ Treatment B ☒ Treatment C ☐ Treatment D ☒ SUPPDC DCSEQ WHEN DCARM = DCREFVTEC

dc.xpt													
STUDYID	DOMAIN	USUBJID	SUBJID	DCSEQ	RFXSTDTC	RFXENDTC	RFXSTDTC	RFXENDTC	AGE	AGEU	ARMCD	ARM	
ABC123	DC	ABC1231001001	1001A	1	2021-03-01	2023-04-01	2021-03-01	2023-04-01	35	YEARS	TRTA	Treatment A	
ABC123	DC	ABC1231001002	1002A	1	2021-03-16	2023-04-30	2021-03-16	2023-04-30	32	YEARS	TRTB	Treatment B	
ABC123	DC	ABC1231001003	1003A	1	2021-05-29	2022-06-02	2021-05-29	2022-06-02	40	YEARS	TRTC	Treatment C	
ABC123	DC	ABC1231001004	1004A	1	2021-05-20	2023-05-30	2021-05-20	2023-05-30	46	YEARS	TRTD	Treatment C	
ABC123	DC	ABC1231001004	1004B	2	2022-06-15	2023-05-30	2022-06-15	2023-05-30	47	YEARS	TRTD	Treatment D	

suppdc.xpt													
STUDYID	DOMAIN	USUBJID	DCSEQ	IDVAR	IDVARVAL	Q1ARM	Q1ABEL	Q1VAL	Q1ORIG	Q1EVAL			
ABC123	DC	ABC1231001001	DCSEQ	1	DCREFVTEC		Previous Treatment Enrollment	N	CRF				
ABC123	DC	ABC1231001002	DCSEQ	1	DCREFVTEC		Previous Treatment Enrollment	N	CRF				
ABC123	DC	ABC1231001003	DCSEQ	1	DCREFVTEC		Previous Treatment Enrollment	N	CRF				
ABC123	DC	ABC1231001004	DCSEQ	1	DCREFVTEC		Previous Treatment Enrollment	N	CRF				
ABC123	DC	ABC1231001004	DCSEQ	2	DCREFVTEC		Previous Treatment Enrollment	N	CRF				
ABC123	DC	ABC1231001004	DCSEQ	2	DCREFVTEC		Previous Subject Number	1004A	CRF				
ABC123	DC	ABC1231001004	DCSEQ	2	DCREFVTEC		Previous Treatment Assignment	TRTD	CRF				

Fig.3: Annotated CRF collecting new SUBJID for each enrollment. Information on previous cohorts is collected and

Fig.3: Annotated CRF collecting new SUBJID for each enrollment. Information on previous cohorts is collected and mapped to DC, SUPPDC, and DM domains. Although participants have a new SUBJID for each participant, they have a common USUBJID throughout the trial.

STUDYID	DOMAIN	USUBJID	SUBJID	RFXSTDTC	RFXENDTC	RFXSTDTC	RFXENDTC	AGE	AGEU	SITEID	COUNTRY	ARMCD	ARM
ABC123	DM	ABC1231001001	1001A	2021-03-01	2023-04-01	2021-03-01	2023-04-01	35	YEARS	100	USA	TRTA	Treatment A
ABC123	DM	ABC1231001002	1002A	2021-03-16	2023-04-30	2021-03-16	2023-04-30	32	YEARS	100	USA	TRTB	Treatment B
ABC123	DM	ABC1231001003	1003A	2021-05-29	2022-06-02	2021-05-29	2022-06-02	40	YEARS	100	USA	TRTC	Treatment C
ABC123	DM	ABC1231001004	1004B	2022-06-15	2023-05-30	2022-06-15	2023-05-30	47	YEARS	100	USA	TRTD	Treatment D

Fig. 5: DM (Demographics) has only one record per USUBJID. The last participating SUBJID is used as a record to be represented in DM for re-enrollers.

Data Collection, SDTM mapping of Biomarker Data to MI (Microscopic Findings) Domain

MI = Microscopic Findings	
BIOMARKER FINDINGS (BMF)	
1. Date of Assessment	<div><div>Date</div><div>/</div><div>Date</div><div>MDTC</div></div>
2. Biomarker A Status	<div><div><div><div>☐ Not Detected</div><div>☐ Detected</div><div>☐ Undetermined</div><div>☐ Not Done</div></div><div><div>MORRIS when MITESTCD = B1OMARA</div></div></div></div>
3. Biomarker B Status	<div><div><div><div>☐ Not Detected</div><div>☐ Detected</div><div>☐ Undetermined</div><div>☐ Not Done</div></div><div><div>MORRIS when MITESTCD = B1OMARS</div></div></div></div>
4. Biomarker C Status	<div><div><div><div>☐ Not Detected</div><div>☐ Detected</div><div>☐ Undetermined</div><div>☐ Not Done</div></div><div><div>MORRIS when MITESTCD = B1OMARC</div></div></div></div>
5. Biomarker D Status	<div><div><div><div>☐ Not Detected</div><div>☐ Detected</div><div>☐ Undetermined</div><div>☐ Not Done</div></div><div><div>MORRIS when MITESTCD = B1OMARD</div></div></div></div>
3. Method of Assessment	<div><div>IMC</div><div>IMMETHOD</div></div>
4. Sample Material	<div><div>Tissue</div><div>MSPEC</div></div>

STUDYID	DOMAIN	USUBJID	MISEQ	MITESTCD	MITESEQ	MITESEQ	MORRIS	MORRIS	MORRIS	MMETHOD	MITESEQ
ABC123	MI	ABC1231001001	1	B1OMARA	Biomarker A	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-03-01	
ABC123	MI	ABC1231001001	2	B1OMARS	Biomarker B	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-03-01	
ABC123	MI	ABC1231001001	3	B1OMARC	Biomarker C	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-03-01	
ABC123	MI	ABC1231001001	4	B1OMARD	Biomarker D	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-03-01	
ABC123	MI	ABC1231001002	1	B1OMARA	Biomarker A	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-03-16	
ABC123	MI	ABC1231001002	2	B1OMARS	Biomarker B	DETECTED	DETECTED	TISSUE	IMC	2021-03-16	
ABC123	MI	ABC1231001002	3	B1OMARC	Biomarker C	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-03-16	
ABC123	MI	ABC1231001002	4	B1OMARD	Biomarker D	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-03-16	
ABC123	MI	ABC1231001003	1	B1OMARA	Biomarker A	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-04-29	
ABC123	MI	ABC1231001003	2	B1OMARS	Biomarker B	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-04-29	
ABC123	MI	ABC1231001003	3	B1OMARC	Biomarker C	DETECTED	DETECTED	TISSUE	IMC	2021-04-29	
ABC123	MI	ABC1231001003	4	B1OMARD	Biomarker D	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-04-29	
ABC123	MI	ABC1231001004	1	B1OMARA	Biomarker A	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-04-20	
ABC123	MI	ABC1231001004	2	B1OMARS	Biomarker B	NOT DETECTED	NOT DETECTED	TISSUE	IMC	2021-04-20	
ABC123	MI	ABC1231001004	3	B1OMARC	Biomarker C	DETECTED	DETECTED	TISSUE	IMC	2021-04-20	
ABC123	MI	ABC1231001004	4	B1OMARD	Biomarker D	DETECTED	DETECTED	TISSUE	IMC	2021-04-20	

Fig.6: Annotated CRF and SDTM compliant MI dataset. Subjects ABC1231001001, ABC1231001002 and ABC1231001003 have show detectable levels of biomarkers A, B and C respectively. Subject ABC1231001004 exhibits

Fig.6: Annotated CRF and SDTM compliant MI dataset. Subjects ABC1231001001, ABC1231001002 and ABC1231001003 have shown detectable levels of biomarkers A, B and C respectively. Subject ABC1231001004 exhibits detectable levels of both biomarkers C and D.

ADaM Datasets for Analysis Needs

adsl.xpt

STUDYID	USUBJID	SUBJID	BIOMKRSTT	COHORT	TRT01A	AAGE	AGEU	SAFFL	TRTSDT	TRTEDT
ABC123	ABC1231001001	1001A	BIOA	A	Treatment A	35	YEARS	Y	2021-03-01	2023-04-01
ABC123	ABC1231001002	1002A	BIOB	B	Treatment B	32	YEARS	Y	2021-03-16	2023-04-30
ABC123	ABC1231001003	1003A	BIOC	C	Treatment C	40	YEARS	Y	2021-05-29	2022-06-02
ABC123	ABC1231001004	1004B	BIOC-BIOD	D	Treatment D	47	YEARS	Y	2022-06-15	2023-05-30

Fig.7: ADSL contains one record per USUBJID. The ADSL dataset is derived from primary SUBJID in DM.

adtp.xpt

STUDYID	USUBJID	SUBJID	BIOMKRSTT	COHORT	TRT01A	AAGE	AGEU	SAFFL	TRTSDT	TRTEDT
ABC123	ABC1231001001	1001A	BIOA	A	Treatment A	35	YEARS	Y	2021-03-01	2023-04-01
ABC123	ABC1231001002	1002A	BIOB	B	Treatment B	32	YEARS	Y	2021-03-16	2023-04-30
ABC123	ABC1231001003	1003A	BIOC	C	Treatment C	40	YEARS	Y	2021-05-29	2022-06-02
ABC123	ABC1231001004	1004A	BIOC-BIOD	C	Treatment C	46	YEARS	Y	2021-05-20	2022-05-29
ABC123	ABC1231001004	1004B	BIOC-BIOD	D	Treatment D	47	YEARS	Y	2022-06-15	2023-05-30

Fig.8: ADTP (Trial Participation Analysis Dataset): The ADTP captures subject level analysis dataset at participate level. It contains one record per SUBJID as collected in DC

adlb.xpt

STUDYID	USUBJID	SUBJID	TRTA	PARAMCD	AVISIT	AVAL	ABLFL	BASETYPE	SAFFL	BASECHG
ABC123	ABC1231001001	1001A	TREATMENT C	ALT	Cycle 1 Day 1	30	Y	COHORT C	Y	30
ABC123	ABC1231001004	1004A	TREATMENT C	ALT	Cycle 2 Day 1	31		COHORT C	Y	30
ABC123	ABC1231001004	1004A	TREATMENT C	ALT	Cycle 3 Day 1	110		COHORT C	Y	80
ABC123	ABC1231001004	1004A	TREATMENT C	ALT	End of Treatment	554		COHORT C	Y	524
ABC123	ABC1231001004	1004B	TREATMENT D	ALT	Cycle 1 Day 1	25	Y	COHORT D	Y	25
ABC123	ABC1231001004	1004B	TREATMENT D	ALT	Cycle 2 Day 1	35		COHORT D	Y	25
ABC123	ABC1231001004	1004B	TREATMENT D	ALT	Cycle 3 Day 1	31		COHORT D	Y	25
ABC123	ABC1231001004	1004B	TREATMENT D	ALT	End of Treatment	31		COHORT D	Y	25

Fig. 9: ADLB, an analysis dataset generated by merging ADTP (Trial Participation Analysis Dataset) and LB/SUPPLB datasets. ADLB utilizes SUBJID and USUBJID as key identifier variables to accurately identify subjects. This allows analysis based on multiple participations by a subject by setting proper baseline flags and treatment values. BASETYPE identifies of baseline that corresponds to the value of BASE in each row.

Conclusions

- ❖ Platform trials have emerged as transformative approaches in oncology, significantly improving the efficiency of clinical trials.
- ❖ In the context of multiple enrollments in platform trials, careful attention is required for data collection and mapping to SDTM (Study Data Tabulation Model) standards.
- ❖ The trial design domains, TA (Trial Arms) and TE (Trial Elements), provide essential structure and information for platform trials.
- ❖ The DM domain captures one record per USUBJID, while the DC (Demographics as Collected) domain captures one record per enrollment (SUBJID).
- ❖ Biomarker data entry and mapping processes are crucial components of platform trials.
- ❖ The ADSL dataset is structured similarly to the DM domain.
- ❖ The ADTP (Trial Participation Analysis Dataset), akin to the DC domain, captures one record per trial participation, enabling comprehensive presentation of subject engagement.
- ❖ ADLB is created by merging ADTP and LB/SUPPLB datasets, facilitating the capture of lab data for each participating cohort by subject. This allows for analysis based on multiple participations, incorporating appropriate baseline flags and treatment values.

In conclusion, this presentation provides valuable insights into the practical aspects of data entry and data mappings to SDTM and ADaM standards, with a focus on addressing the challenges posed by re-enrollers in platform trials. The examples presented offer guidance for designing effective data entry and standardization systems to accommodate the complexities of clinical trials involving subjects participating in multiple cohorts.

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