

# Introduction of Programming and Submission Consideration for Diabetes and Women's Health Studies

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# Outline

- Diabetes Studies
  - Endpoints
  - Data (SDTM, ADaM)
  - Analysis Reporting
- Women Health Studies
  - Endpoints
- Submission Consideration

# Diabetes Studies

# A Few Background

- Diabetes Mellitus (DM) Classification
  - Type 1 DM
  - Type 2 DM
  - Gestational DM
  - Other Special DM
- Treatment Solution/Therapies
  - Insulin supplements and insulin based therapies
  - Non-Insulin Therapies
    - GLP-1 Agonists (VICTOZA® Novo Nordisk)
    - DPP4 Inhibitors (JANUVIA®/JANUMET® MSD)
    - SGLT2 Inhibitors (INVOKANA®/INVOKAMET® J&J)
    - New Combination

# Clinical Endpoints

- Efficacy
  - HbA1C
  - 2-hr PMG (Post Meal Glucose)
  - FPG (Fasting Plasma Glucose)
  - MTT (Meal Tolerance Test) Glucose/Insulin (0, 30, 60, 120 mins)
  - MTT Glucose/Insulin AUC
  - Lipid Panel (TG, HDL, LDL)
  - Insulin Dose
- Safety
  - Hypoglycemia
  - Rescue Medication
  - Exposure-adjusted AE
  - Cardiovascular Events
  - Body Weight

# General Data Standard

- Foundational
  - SDTM (or SDTM like)
    - LB, CF, FA, AE, RELRSC
  - ADaM (or Analysis Datasets)
    - ADGLU/ADMTT (Lab Tests Continuous Measurements)
    - ADHA (Hypoglycemia Events)
    - ADADJ (Adjudication CV Events)
- Therapeutic Areas
  - Therapeutic Area Data Standards User Guide for Diabetes (CFAST Diabetes Team)
  - ADaM Supplement to the TAUG-Diabetes (CFAST Diabetes ADaM Sub-Team)

# Diabetes

## Diabetes Therapeutic Area User Guide v1 - Supplement for ADaM

1.0

Release Date: 2015-12-18

The Analysis Data Model (ADaM) Supplement to version 1.0 of TAUG-Diabetes, released 2015-12-18, is available for provisional use. The illustrates using ADaM to create datasets that support analyzing statistical endpoints common to diabetes clinical trials.

Downloads:

**File****Size**[Diabetes Therapeutic Area Data Standard User Guide v1 - Supplement for ADaM](#)

792.87 KB

[CDISC Diabetes ADaM Supplement Public Review Comments](#)

31.9 KB

## Diabetes Therapeutic Area Data Standard User Guide v1

1.0

Release Date: 2014-09-11

Version 1.0 Provisional of the Diabetes Therapeutic Area User Guide (TAUG-Diabetes), released 2014-09-11, provides guidance on the implementing the Study Data Tabulation Model (SDTM) to represent diabetes data in regulatory submissions. TAUG-Diabetes describes the most common biomedical concepts relevant to diabetes studies, and the necessary metadata to represent such data consistently with CDISC standards.

Downloads:

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7.55 MB

[CDISC Diabetes v1 Public Review Comments](#)

78.32 KB

# General ADaM Specification

- ADSL –
  - [DIABDURY] - Duration of Diabetes (years)
  - [STRATyNM] [STRATy] [STRATyN] - Stratification Variables
    - Baseline HbA1c ( $>7$ - $<9\%$ ,  $\geq 9\%$ )
    - Background use of basal medication
- ADGLU, ADMTT (DS)
  - Continuous measures at pre-defined time points or longitudinally.
  - Calculate change or percent Change from baseline
  - Categorical measures such as a binary response based on achieving a pre-defined criterion (e.g. HbA1c  $< 7.0\%$  at week X).
- ADHA (OCCDS)
  - Hypoglycemic events lends itself to creation of statistical endpoints that relate to events, such as incidence, prevalence, or event severity.
- ADADJ
  - Cardiovascular Adjudications Adverse Events



# Tests in SDTM.LB to ADaM

| Assessment                     | LBTESTCD                                                  | LBTEST              | LBTPT    | ADaM  |
|--------------------------------|-----------------------------------------------------------|---------------------|----------|-------|
| Glycosylated Hemoglobin A1c    | HBA1C                                                     | Hemoglobin A1C      |          | ADGLU |
| 2-hour Post Meal Glucose (PMG) | GLUC                                                      | Glucose             | 120 min  |       |
| Fasting Plasma Glucose (FPG)   | GLUC                                                      | Glucose             |          |       |
| Fasting Serum Insulin          | INSULN                                                    | Insulin             | Pre-dose |       |
| Fasting Serum C-peptide        | CPEPTIDE                                                  | C-Peptide           | Pre-dose |       |
| Lipid Panel                    | HDL                                                       | HDL Cholesterol     |          |       |
|                                | LDL                                                       | LDL Cholesterol     |          |       |
|                                | TRIG                                                      | Triglycerides       |          |       |
| Other Related Markers          | ANHGL15                                                   | 1,5-anhydroglucitol |          | ADMTT |
|                                | HOMA-β (Derived)                                          |                     |          |       |
| Meal Tolerance Test (MTT)      | GLUC                                                      | Glucose             | 0 min    |       |
|                                | GLUC                                                      | Glucose             | 30 min   |       |
|                                | GLUC                                                      | Glucose             | 60 min   |       |
|                                | GLUC                                                      | Glucose             | 120 min  |       |
|                                | INSULN                                                    | Insulin             | 0 min    |       |
|                                | INSULN                                                    | Insulin             | 30 min   |       |
|                                | INSULN                                                    | Insulin             | 60 min   |       |
|                                | INSULN                                                    | Insulin             | 120 min  |       |
|                                | CPEPTIDE                                                  | C-Peptide           | 0 min    |       |
|                                | CPEPTIDE                                                  | C-Peptide           | 30 min   |       |
|                                | CPEPTIDE                                                  | C-Peptide           | 60 min   |       |
|                                | CPEPTIDE                                                  | C-Peptide           | 120 min  |       |
|                                | Incremental AUC, Total AUC, Insulinogenic Index (Derived) |                     |          |       |

# ADGLU

| STUDYID  | USUBJID            | FASPFL | TRT01P | VISIT    | AVISIT   | ADY | PARAM                          | PARAMCD | PARAMN | AVAL | BASE | ABLFL | CHG | DTYPE | ANL01FL |
|----------|--------------------|--------|--------|----------|----------|-----|--------------------------------|---------|--------|------|------|-------|-----|-------|---------|
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 1  | Week -10 | -61 | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 145  | 188  |       |     |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 3  | Week -2  | -14 | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 199  | 188  |       |     |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0   | 1   | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 188  | 188  | Y     |     |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 5  | Week 4   | 29  | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 209  | 188  |       | 21  |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 6  | Week 8   | 57  | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 188  | 188  |       | 0   |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 7  | Week 12  | 85  | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 180  | 188  |       | -8  |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 8  | Week 16  | 113 | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 207  | 188  |       | 19  |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 9  | Week 20  | 141 | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 207  | 188  |       | 19  | LOCF  | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24  | 169 | Fasting Plasma Glucose (mg/dL) | GLUC    | 100    | 205  | 188  |       | 17  |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 1  | Week -10 | -61 | HbA1c (%)                      | HBA1C   | 250    | 6.8  | 8.2  |       |     |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 3  | Week -2  | -14 | HbA1c (%)                      | HBA1C   | 250    | 7.9  | 8.2  |       |     |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0   | 1   | HbA1c (%)                      | HBA1C   | 250    | 8.2  | 8.2  | Y     |     |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 5  | Week 4   | 29  | HbA1c (%)                      | HBA1C   | 250    | 8.6  | 8.2  |       | 0.4 |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 6  | Week 8   | 57  | HbA1c (%)                      | HBA1C   | 250    | 8.8  | 8.2  |       | 0.6 |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 7  | Week 12  | 85  | HbA1c (%)                      | HBA1C   | 250    | 9.1  | 8.2  |       | 0.9 |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 8  | Week 16  | 113 | HbA1c (%)                      | HBA1C   | 250    | 9.1  | 8.2  |       | 0.9 |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 9  | Week 20  | 141 | HbA1c (%)                      | HBA1C   | 250    | 9.1  | 8.2  |       | 0.9 | LOCF  | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24  | 169 | HbA1c (%)                      | HBA1C   | 250    | 9.2  | 8.2  |       | 1   |       | Y       |

# ADMTT

| STUDYID  | USUBJID            | FASPFL | TRT01P | VISIT    | AVISIT  | ADY | PARAM                                      | PARAMCD  | PARAMN | AVAL     | BASE    | ABLFL | CHG      | DTYPE | ANL01FL |
|----------|--------------------|--------|--------|----------|---------|-----|--------------------------------------------|----------|--------|----------|---------|-------|----------|-------|---------|
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Plasma Glucose (0 minute) (mg/dL)          | GLU-0M   | 110    | 191      | 191     | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Plasma Glucose (0 minute) (mg/dL)          | GLU-0M   | 110    | 149      | 191     |       | -42      |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Plasma Glucose (30 minutes) (mg/dL)        | GLU-30M  | 113    | 244      | 244     | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Plasma Glucose (30 minutes) (mg/dL)        | GLU-30M  | 113    | 212      | 244     |       | -32      |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Plasma Glucose (60 minutes) (mg/dL)        | GLU-60M  | 120    | 258      | 258     | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Plasma Glucose (60 minutes) (mg/dL)        | GLU-60M  | 120    | 235      | 258     |       | -23      |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | 2-Hour Post-Meal Glucose (mg/dL)           | GLU-120M | 130    | 237      | 237     | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | 2-Hour Post-Meal Glucose (mg/dL)           | GLU-120M | 130    | 205      | 237     |       | -32      |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Serum Insulin (0 minute) (microIU/mL)      | INS-0M   | 160    | 7.89     | 7.89    | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Serum Insulin (0 minute) (microIU/mL)      | INS-0M   | 160    | 11.5     | 7.89    |       | 3.61     |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Serum Insulin (30 minutes) (microIU/mL)    | INS-30M  | 163    | 16.6     | 16.6    | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Serum Insulin (30 minutes) (microIU/mL)    | INS-30M  | 163    | 34.2     | 16.6    |       | 17.6     |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Serum Insulin (60 minutes) (microIU/mL)    | INS-60M  | 170    | 35.6     | 35.6    | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Serum Insulin (60 minutes) (microIU/mL)    | INS-60M  | 170    | 24.5     | 35.6    |       | -11.1    |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | 2-Hour Post-Meal Insulin (microIU/mL)      | INS-120M | 180    | 40.8     | 40.8    | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | 2-Hour Post-Meal Insulin (microIU/mL)      | INS-120M | 180    | 50.5     | 40.8    |       | 9.7      |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Glucose Incremental AUC (mg.hr/dL)         | GLUICAUC | 291    | 99.75    | 99.75   | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Glucose Incremental AUC (mg.hr/dL)         | GLUICAUC | 291    | 124      | 99.75   |       | 24.25    |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Glucose Total AUC (mg.hr/dL)               | GLUTTAUC | 292    | 481.75   | 481.75  | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Glucose Total AUC (mg.hr/dL)               | GLUTTAUC | 292    | 422      | 481.75  |       | -59.75   |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Insulin Incremental AUC (microIU.hr/mL)    | INSICAUC | 293    | 41.5925  | 41.5925 | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Insulin Incremental AUC (microIU.hr/mL)    | INSICAUC | 293    | 40.6     | 41.5925 |       | -0.9925  |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Insulin Total AUC (microIU.hr/mL)          | INSTTAUC | 294    | 57.3725  | 57.3725 | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Insulin Total AUC (microIU.hr/mL)          | INSTTAUC | 294    | 63.6     | 57.3725 |       | 6.2275   |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 4  | Week 0  | 1   | Insulinogenic Index (microIU/mL per mg/dL) | INSIDX   | 319    | 0.16434  | 0.16434 | Y     |          |       | Y       |
| 0001-001 | 0001-001 001100001 | Y      | Drug A | Visit 10 | Week 24 | 169 | Insulinogenic Index (microIU/mL per mg/dL) | INSIDX   | 319    | 0.360317 | 0.16434 |       | 0.195978 |       | Y       |

# Analysis Methods

| Endpoint                                                                       | Primary vs. Sensitivity | Statistical method  | population | Missing data approach                                                  |
|--------------------------------------------------------------------------------|-------------------------|---------------------|------------|------------------------------------------------------------------------|
| Primary                                                                        |                         |                     |            |                                                                        |
| Change from baseline in <i>HbA1c</i> at Week X                                 | P                       | cLDA                | FAS        | Model-based                                                            |
|                                                                                | S                       | cLDA                | FAS        | Tipping Point (Pattern Mixture Model)                                  |
|                                                                                | S                       | Longitudinal ANCOVA | PP         | Subjects with missing Week X measurements are not in the PP population |
| Secondary                                                                      |                         |                     |            |                                                                        |
| Change from baseline in <i>2-hr PMG/ FPG/MTT/etc.</i> at Week X                | P                       | cLDA                | FAS        | Model-based                                                            |
|                                                                                | S                       | Longitudinal ANCOVA | PP         | Subjects with missing Week X measurements are not in the PP population |
| Percentage of subjects achieving HbA1c <7.0% at Week X ( <i>Goal Setting</i> ) | P                       | Logistic Regression | FAS        | Multiple imputation                                                    |
|                                                                                |                         |                     |            |                                                                        |

# cLDA (constrained Longitudinal Data Analysis)

## Constrained Longitudinal Data Analysis of Change from Baseline in HbA1c (%) at Week X (FAS)

| Treatment                                                                                                           | N | Baseline<br>mean (SD) | Week X<br>mean (SD) | Change from Baseline at Week X               |                                |
|---------------------------------------------------------------------------------------------------------------------|---|-----------------------|---------------------|----------------------------------------------|--------------------------------|
|                                                                                                                     |   |                       |                     | mean (SD)                                    | LS Mean (95% CI <sup>†</sup> ) |
| TRT1                                                                                                                | x | x (x.x)               | x (x.x)             | x (x.x)                                      | x (x.x, x.x)                   |
| TRT2                                                                                                                | x | x (x.x)               | x (x.x)             | x (x.x)                                      | x (x.x, x.x)                   |
| Pairwise Comparison                                                                                                 |   |                       |                     | Difference in LS Means (95% CI) <sup>†</sup> | p-Value                        |
| TRT1 vs. TRT2                                                                                                       |   |                       |                     | x.x (x, x)                                   | x.xxx                          |
| N = Number of subjects in the population.<br>LS Mean = Least Squares Mean.<br>Missing data approach is model-based. |   |                       |                     |                                              |                                |

# Clinical Endpoints

- Efficacy
  - HbA1C
  - 2-hr PMG (Post Meal Glucose)
  - FPG (Fasting Plasma Glucose)
  - MTT (Meal Tolerance Test) Glucose/Insulin (0, 30, 60, 120 mins)
  - MTT Glucose/Insulin AUC
  - Lipid Panel (TG, HDL, LDL)
  - Insulin Dose
- Safety
  - Hypoglycemia
  - Rescue Medication
  - Exposure-adjusted AE
  - Cardiovascular Events
  - Body Weight

# Hypoglycemia (Low Blood Glucose) Analysis

- Occurs when the level of blood glucose drops below normal (e.g.  $\leq 70$  mg/dL)
- Symptoms
  - Mild to Moderate
    - Hungry, Sweaty, etc.
  - Severe
    - Unable to eat or drink, Unconscious, etc.
- Some times people won't have any symptoms.

# HA (Hypoglycemia Assessment) eCRF

- If glucose measurements  $\leq 70$  mg/dL, or have symptoms related to hypoglycemia according to investigator, the patient would be asked to complete a HA form in eCRF.

| Report from Subject |                            | Investigator's Judgment |               | Enter into eCRF |                |                              |
|---------------------|----------------------------|-------------------------|---------------|-----------------|----------------|------------------------------|
| Subjective Symptom  | SMBG                       | Hypoglycemic Episode    | Adverse Event | HA              | FSG            | AE                           |
| With                | >70 mg/dL<br>or<br>No data | Yes                     | Yes           | X               | X <sup>1</sup> | "hypoglycaemia"              |
|                     |                            | No                      | Yes           |                 |                | Relevant AE term             |
|                     |                            |                         | No            |                 |                |                              |
|                     | ≤70 mg/dL                  | -                       | Yes           | X               | X              | "hypoglycaemia"              |
|                     |                            |                         | No            | X               | X              |                              |
| Without             | ≤70 mg/dL                  | -                       | Yes           | X               | X              | "asymptomatic hypoglycaemia" |
|                     |                            |                         | No            | X               | X              |                              |

FSG : Fingerstick Glucose  
SMBG: Self-monitoring blood glucose



# HA (Hypoglycemia Assessment) eCRF

- Would include questions below
  - Date/ time of occurrence, while sleeping or not
  - Symptoms
  - Glucose measurement
  - Medication (study treatment and concomitant treatment)
  - Factors precipitated the hypoglycemic Episode
  - Action taken in response to the event
  - ...

# HA in CF/FA

- HA would be mapped to CF domain in and before version 3.1.2, and in the later version, would be mapped to CE and FA domain.

| DOMAIN | CEGRPID | SUBJID | CESPID | CETERM       | CESTDTC          | CEENDTC |
|--------|---------|--------|--------|--------------|------------------|---------|
| CE     | HA      | 10001  | 1      | HYPOGLYCEMIA | 2016-07-31T11:40 |         |

| DOMAIN | CFGRP | SUBJID | CFOBJ                | CFTEST                                   | CFORRES                                 | CFSTDTC          | CFENDTC |
|--------|-------|--------|----------------------|------------------------------------------|-----------------------------------------|------------------|---------|
| CF     | HA    | 10001  |                      | Symptoms of Hypoglycemia                 | NO SYMPTOMS                             | 2016-07-31T11:40 |         |
| CF     | HA    | 10001  | HYPOGLYCEMIC EPISODE | Glucose Measurement                      | FINGERSTICK GLUCOSE                     | 2016-07-31T11:40 |         |
| CF     | HA    | 10001  | HYPOGLYCEMIC EPISODE | Occurrence                               | N                                       | 2016-07-31T11:40 |         |
| CF     | HA    | 10001  | HYPOGLYCEMIA         | Require Assistance of Another Individual | NO ASSISTANCE                           | 2016-07-31T11:40 |         |
| CF     | HA    | 10001  | HYPOGLYCEMIA         | Intervention to Treat Episode            | T11:55                                  | 2016-07-31T11:40 |         |
| CF     | HA    | 10001  | HYPOGLYCEMIA         | Factors for Hypoglycemic Episode         | SKIPPED, DELAYED, OR SMALLER MEAL/SNACK | 2016-07-31T11:40 |         |

- AE and LB would also include part of HA related information.

# ADHA

- Link CE/CF/FA with AE, LB, RELREC domains depending on analysis purpose.
- One hypoglycemia episode one row.
- Classification variables would be developed.
  - AE/Non-AE
  - Symptomatic/Asymptomatic
  - Glucose Level Category
  - Severe/Non-Severe
  - Precipitating Factor

# Hypoglycemia Analysis Sample 1

Summary of Subjects with Hypoglycemia Adverse Events  
(ASaT)

|                                                                                      | TRT1<br>n (%) | TRT2<br>n (%) | Total<br>n (%) |
|--------------------------------------------------------------------------------------|---------------|---------------|----------------|
| Subjects in population                                                               | x             | x             | x              |
| With one or more:<br>Adverse events of hypoglycemia (symptomatic<br>or asymptomatic) | x (x.x)       | x (x.x)       | x (x.x)        |
| Symptomatic                                                                          | x (x.x)       | x (x.x)       | x (x.x)        |
| Severe                                                                               | x (x.x)       | x (x.x)       | x (x.x)        |
| Requiring non-medical assistance                                                     | x (x.x)       | x (x.x)       | x (x.x)        |
| Requiring medical assistance                                                         | x (x.x)       | x (x.x)       | x (x.x)        |
| Asymptomatic                                                                         | x (x.x)       | x (x.x)       | x (x.x)        |

# Hypoglycemia Analysis Sample 2

Summary of Subjects with Hypoglycemia Adverse Events by Lowest Glucose Category (ASaT)

|                                                                     | TRT1<br>n (%) | TRT2<br>n (%) | Total<br>n (%) |
|---------------------------------------------------------------------|---------------|---------------|----------------|
| Subjects in population                                              | x             | x             | x              |
| <b>With one or more:</b>                                            |               |               |                |
| <b>Adverse events of hypoglycemia (symptomatic or asymptomatic)</b> | x (x.x)       | x (x.x)       | x (x.x)        |
| ≤70 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| <50 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| >70 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| Unknown                                                             | x (x.x)       | x (x.x)       | x (x.x)        |
| <b>Asymptomatic</b>                                                 | x (x.x)       | x (x.x)       | x (x.x)        |
| ≤70 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| <50 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| >70 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| Unknown                                                             | x (x.x)       | x (x.x)       | x (x.x)        |
| <b>Symptomatic</b>                                                  | x (x.x)       | x (x.x)       | x (x.x)        |
| ≤70 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| <50 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| >70 mg/dL                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| Unknown                                                             | x (x.x)       | x (x.x)       | x (x.x)        |

# Hypoglycemia Analysis Sample 3

Distribution of the Number of Episodes of Hypoglycemia Adverse Events per Subject (ASaT)

|                                                                     | TRT1<br>n (%) | TRT2<br>n (%) | Total<br>n (%) |
|---------------------------------------------------------------------|---------------|---------------|----------------|
| Subjects in population                                              | X             | X             | X              |
| <b>Adverse events of hypoglycemia (symptomatic or asymptomatic)</b> |               |               |                |
| Total episodes n (%) by number of episodes per subject              | x (x.x)       | x (x.x)       | x (x.x)        |
| 0 episode                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| 1 episode                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| 2 episodes                                                          | x (x.x)       | x (x.x)       | x (x.x)        |
| ≥3 episodes and ≤10 episodes                                        | x (x.x)       | x (x.x)       | x (x.x)        |
| >10 episodes                                                        | x (x.x)       | x (x.x)       | x (x.x)        |
| <b>Symptomatic</b>                                                  |               |               |                |
| Total episodes n (%) by number of episodes per subject              | x (x.x)       | x (x.x)       | x (x.x)        |
| 0 episode                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| 1 episode                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| 2 episodes                                                          | x (x.x)       | x (x.x)       | x (x.x)        |
| ≥3 episodes and ≤10 episodes                                        | x (x.x)       | x (x.x)       | x (x.x)        |
| >10 episodes                                                        | x (x.x)       | x (x.x)       | x (x.x)        |
| <b>Severe</b>                                                       |               |               |                |
| Total episodes n (%) by number of episodes per subject              | x (x.x)       | x (x.x)       | x (x.x)        |
| 0 episode                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| 1 episode                                                           | x (x.x)       | x (x.x)       | x (x.x)        |
| 2 episodes                                                          | x (x.x)       | x (x.x)       | x (x.x)        |
| ≥3 episodes and ≤10 episodes                                        | x (x.x)       | x (x.x)       | x (x.x)        |
| >10 episodes                                                        | x (x.x)       | x (x.x)       | x (x.x)        |

# Cardiovascular Adverse Events

- Regulatory requirement specific to Diabetes studies is to demonstrate CV safety.
- ADADJ
  - Map adjudicated events from VCAS (Virtual Clinical Adjudication System) to SDTM CF domain CFCAT=“ADJUDICATION”
  - Assigned adjudication event onset date rather CF date
  - Link adjudication records in CF and AE using RESREC domain

# Cardiovascular AE Analysis Sample

Analysis of Patients With Confirmed, Adjudicated Cardiovascular Serious Adverse Events

|                                                | TRT1<br>n (%) | TRT2<br>n (%) | TRT 1 vs. TRT2<br>Estimate (95% CI) <sup>†</sup> |
|------------------------------------------------|---------------|---------------|--------------------------------------------------|
| Patients in population                         | xx            | xx            |                                                  |
| <b>Cardiovascular death or sudden death</b>    | x (x.x)       | x (x.x)       | x.x                                              |
| Cardiovascular death                           | x (x.x)       | x (x.x)       | x.x                                              |
| Sudden death                                   | x (x.x)       | x (x.x)       | x.x                                              |
| <b>Cardiac events, excluding heart failure</b> | x (x.x)       | x (x.x)       | x.x                                              |
| Acute myocardial infarction                    | x (x.x)       | x (x.x)       | x.x                                              |
| Resuscitated cardiac arrest                    | x (x.x)       | x (x.x)       | x.x                                              |
| Unstable angina pectoris                       | x (x.x)       | x (x.x)       | x.x                                              |
| <b>Cerebrovascular events</b>                  | x (x.x)       | x (x.x)       | x.x                                              |
| Hemorrhagic stroke or hemorrhagic change       | x (x.x)       | x (x.x)       | x.x                                              |
| Ischemic stroke                                | x (x.x)       | x (x.x)       | x.x                                              |
| Ocular vascular event                          | x (x.x)       | x (x.x)       | x.x                                              |



# Rescue Medication

- Consider the medical risk of inadequate control, the subject who meets the rescue criteria should initiate rescue therapy (e.g. Metformin).
- Rescue medication will be collected in CM domain with [CMCAT] (Category for Medication)='RESCUE'
- Phasing (e.g EPOCH) in SDTM will be determined with the compare of CM.CMSTDTC and EX.EXSTDTC
- Safety:
  - Primary approach (Excluding Rescue)
  - Secondary approach (Including Rescue)
- Efficacy: Data after the initiation of rescue therapy will be censored (i.e., treated as missing) to avoid the confounding influence of rescue therapy.

# Exposure-adjusted AE

- Consider individual subject's exposure time to access toleration and acceptable safety profile.
- Exposure-adjusted incidence rate = # of subjects or events/subject-year\*100 =  $\frac{n}{T} \times 100 = \frac{n}{\sum t_i} \times 100$

Exposure-adjusted Incidence Rate (Number of Subjects)

|                                                | TRT1 |   |                   | TRT2 |   |                   |
|------------------------------------------------|------|---|-------------------|------|---|-------------------|
|                                                | n    | m | (SY) <sup>†</sup> | n    | m | (SY) <sup>†</sup> |
| Subjects in population                         | X    |   |                   | X    |   |                   |
| With one or more specific adverse events below | X    | X | (X.X)             | X    | X | (X.X)             |
| Specific AE1                                   | X    | X | (X.X)             | X    | X | (X.X)             |
| Specific AE2                                   | X    | X | (X.X)             | X    | X | (X.X)             |
| Specific AE3                                   | X    | X | (X.X)             | X    | X | (X.X)             |

n = Number of subjects, m = Number of events  
<sup>†</sup> (SY) = # of subjects/subject-year\*100.

Exposure-adjusted Incidence Rate (Number of Events)

|                                                | Treatment |   |                   | Placebo |   |                   |
|------------------------------------------------|-----------|---|-------------------|---------|---|-------------------|
|                                                | n         | m | (SY) <sup>†</sup> | n       | m | (SY) <sup>†</sup> |
| Subjects in population                         | X         |   |                   | X       |   |                   |
| With one or more specific adverse events below | X         | X | (X.X)             | X       | X | (X.X)             |
| Specific AE1                                   | X         | X | (X.X)             | X       | X | (X.X)             |
| Specific AE2                                   | X         | X | (X.X)             | X       | X | (X.X)             |
| Specific AE3                                   | X         | X | (X.X)             | X       | X | (X.X)             |

n = Number of subjects, m = Number of events  
<sup>†</sup> (SY) = # of events/subject-year\*100.

# Programing Flow

1. Identifying specific events from AE dataset.
2. Capture the first incidence of each unique event term.
3. Get the first treatment start/end date from ADSL and then create a dummy dataset by subject ID and event term.
4. Merge event data and dummy data in order to assign event for each patient.
5. For number of subjects table, count exposure duration up to the first corresponding events or total exposure duration if no any events. For number of events table, count the total exposure duration.
6. Count the total number of subjects/events and sum of the exposure durations.
7. Get final exposure adjusted incidence rates.

# Women Health Studies

# A Few Background

- Women have unique health issues: pregnancy, menopause and conditions of the female organs. Some of the health issues that affect both men and women can affect women differently.
- Briefly introduce indication on:
  - Contraception
  - Dysmenorrhea
  - Assisted Reproductive Technology

# Contraception

- Endpoint
  - Pearl Index (PI) =  $n/E$ 
    - $n$ =Number of in-treatment pregnancies
    - $E$ =Exposure in 100 woman-years. Typically 1 woman-year is 13 menstrual cycles of 28 days.
    - 100 women can contracept for 1 year (13 menstrual cycles). If 3 pregnancies occur during this period in this group, the Pearl index will be 3.
- Population
  - rFAS (Primary): “at risk” cycle
  - FAS: (Supporting): All cycles (“at risk” or not)
- Cycle and “at risk” Cycle
  - “At risk” treatment cycles are those in which vaginal intercourse was affirmed and no contraceptive method other than trial medication was used.

# Dysmenorrhea

- Endpoint:
  - Change from baseline on reduction in peak pelvic pain score and no increase in number of ibuprofen tablets taken.
- Diary
  - Dysmenorrhea Daily Diary
  - Menstrual Distress Questionnaire, Cycle Version (MDQ-C)
  - Medical Outcomes Trust Short-Form 36

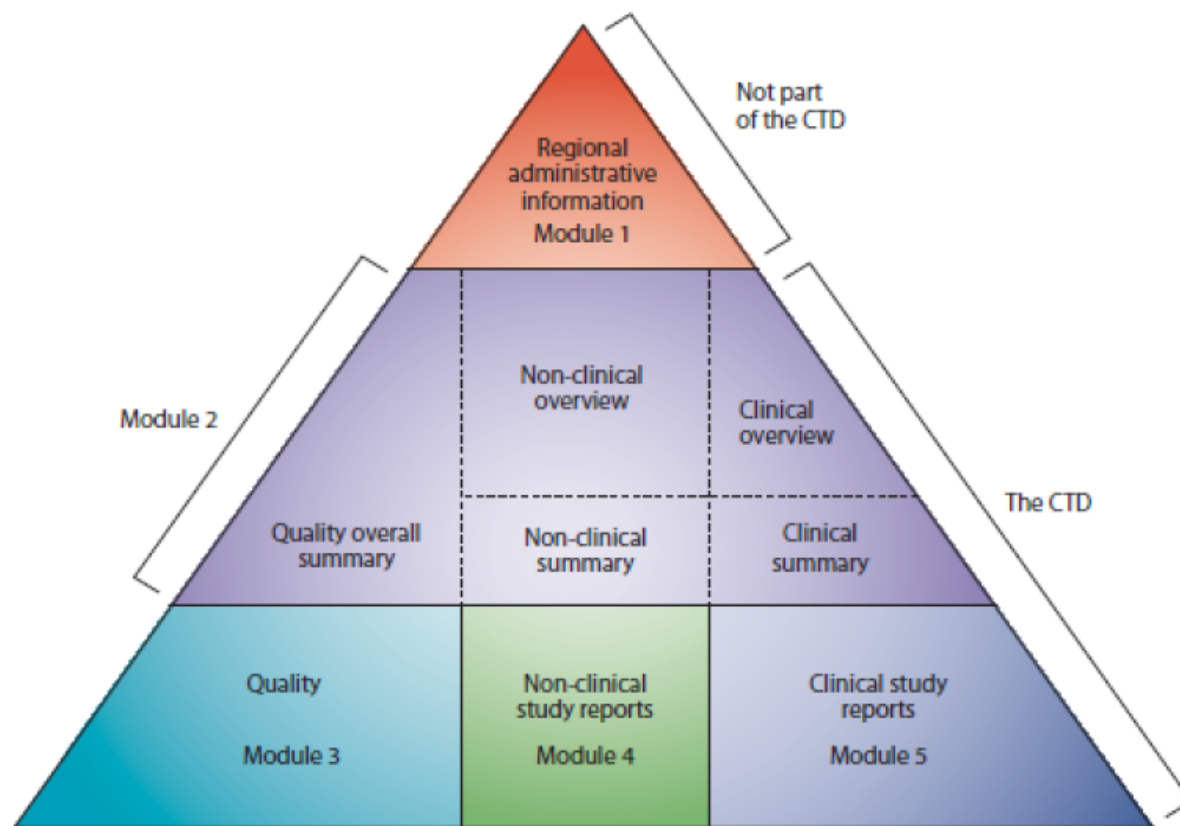
# Assisted Reproductive Technology

- Efficacy
  - Number of oocytes retrieved
- Safety
  - Rates of congenital malformations in infants
  - Vital pregnancy rate
  - Rates of pregnancy outcomes



# About Submission

# Worldwide Marketing Application (WMA)



**The CTD triangle. The Common Technical Document is organized into five modules. Module 1 is region specific and modules 2, 3, 4 and 5 are intended to be common for all regions.**

# Why Submission Delivery More and More Complicate and Critical?

- FDA required Study Data Standard in eCTD
  - December 17, 2016 is Coming
  - FDA Technical Rejection Process
  - ...
- Submission requirement and process is keep changing
  - Traceability
  - Hyperlinking and Source
  - ...
- Improve the value and speed of care delivered to patients
  - Competition on approval and market
  - The sooner the better
  - ...

# What is Study Data Standards ?

## For Industry

Home > For Industry > Data Standards > Study Data Standards

### Study Data Standards

Study Data Standards for  
Regulatory Submissions Position  
Statement

Position on Use of SI Units for Lab  
Tests

Data Standards Research Areas

## Study Data Standards Resources

 SHARE  TWEET  LINKEDIN  PIN IT  EMAIL  PRINT

 [Sign up for email updates.](#)

### Important Notices:

- Data Standards Catalog v4.5.1 (September 29, 2016)

### FDA Data Standards Catalog v4.5.1 (08-31-2016) - Supported and Required Standards

This table contains a listing of the data exchange, file formats and terminology standards supported at FDA. These standards have gone through all the steps necessary to make this part of the regulatory review process, including posting of regulatory guidance documents and associated implementation guidelines and technical specifications. The submission of standardized data using any standard not listed, or to an FDA Center not listed, should be discussed with the Agency in advance. This catalog is incorporated by reference in the guidance to industry, *Providing Regulatory Submissions in Electronic format-Standardized Study Data* (<http://www.fda.gov/downloads/Drugs/Guidances/UCM292334.pdf>).

| Use                     | Data Exchange Standard                           | Exchange Format | Standards Development Organization (SDO)               | Supported Version | Implementation Guide Version | FDA Center(s)    | Date Support Begins (MM/DD/YYYY) | Date Support Ends (MM/DD/YYYY) | Date Requirement Begins (MM/DD/YYYY) | Date Requirement Ends | Regulatory Reference and Information Sources |
|-------------------------|--------------------------------------------------|-----------------|--------------------------------------------------------|-------------------|------------------------------|------------------|----------------------------------|--------------------------------|--------------------------------------|-----------------------|----------------------------------------------|
| Clinical study datasets | Study Data Tabulation Model (SDTM)               | XPT             | Clinical Data Interchange Standards Consortium (CDISC) | 1.4               | 3.2                          | CDER, CBER       | 08/17/2015                       |                                | 03/15/2018 [1]<br>03/15/2019 [2]     |                       | CDISC.org - SDTM                             |
|                         |                                                  |                 |                                                        |                   |                              |                  |                                  |                                |                                      |                       | See Technical Conformance Guide.             |
| Clinical study datasets | SDTM                                             | XPT             | CDISC                                                  | 1.3               | 3.1.3                        | CDER, CBER       | 12/01/2012                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | CDISC.org - SDTM                             |
| Clinical study datasets | SDTM                                             | XPT             | CDISC                                                  | 1.2               | Version 3.1.2 Amendment 1    | CDER, CBER       | 08/07/2013                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | CDISC.org - SDTM                             |
| Clinical study datasets | SDTM                                             | XPT             | CDISC                                                  | 1.2               | 3.1.2                        | CDER, CBER       | 10/30/2009                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | CDISC.org - SDTM                             |
| Clinical study datasets | SDTM                                             | XPT             | CDISC                                                  | 1.1               | 3.1.1                        | CDER, CBER       | Ongoing                          | 01/28/2015                     |                                      |                       | CDISC.org - SDTM                             |
| Clinical study datasets | Analysis Data Model (ADaM)                       | XPT             | CDISC                                                  | 2.1               | 1.0                          | CDER, CBER       | Ongoing                          |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | CDISC.org - ADaM                             |
| Animal study datasets   | Standard for Exchange of Nonclinical Data (SEND) | XPT             | CDISC                                                  | 1.2               | 3.0                          | CDER             | 06/13/2011                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | CDISC.org - SEND                             |
| Study data definition   | Define                                           | XML             | CDISC                                                  | 1.0               | N/A                          | CDER, CBER, CDRH | Ongoing                          | 03/15/2018                     | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | CDISC.org - Define-XML                       |
| Study data definition   | Define                                           | XML             | CDISC                                                  | 2.0               | N/A                          | CDER, CBER, CDRH | 08/07/2013                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | CDISC.org - Define-XML                       |

# When and How Study Data Standards be Required?

**December 17, 2014**

FDA has determined that study data contained in the electronic submissions must be in a format that the Agency can process, review, and archive.

The Agency may Refuse To File (RTF) an electronic submission that does not have study data in conformance to the required standards specified in the Catalog.

Request a waiver.

24  
Months

**December 17, 2016**

After date must use the standards in the FDA Data Catalog (NDAs, ANDAs, BLAs).

Study Data Technical Conformance Guide v3.2 (October 31, 2016)

Recommendations for preparing a Study Data Standardization Plan

**Providing Regulatory  
Submissions  
In Electronic Format —  
Standardized Study Data**

Guidance for Industry

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

December 2014  
Electronic Submissions

**STUDY DATA  
TECHNICAL CONFORMANCE GUIDE**

*Technical Specifications Document*

This Document is incorporated by reference into the following  
Guidance Document(s):

**Guidance for Industry Providing Regulatory Submissions in Electronic  
Format – Standardized Study Data**

For questions regarding this technical specifications document, contact CDER at  
[cdcr-scdata@fda.hhs.gov](mailto:cdcr-scdata@fda.hhs.gov) or CBER at [cber.cdsc@fda.hhs.gov](mailto:cber.cdsc@fda.hhs.gov)

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

October 2016

# Submission Package

- Study Data Standardization Plan
  - Planned data standards, formats, and terminologies and versions
  - Justification of studies that may not conform to the currently supported standard
- SDTM CRT (Case Report Tabulations) Package
  - SDTM datasets
  - Define
  - Annotated CRF
  - SDRG (Study Data Reviewer's Guide)
- Analysis Package
  - Analysis datasets
  - Define
  - Analysis Programs
  - ADRG (Analysis Data Reviewer's Guide)
- OSI (Office of Scientific Investigation)
  - Datasets
  - Define
  - Site Listings
- ISS/ISE

# eCTD File Directory Structure

Figure 1: Folder Structure for Study Datasets

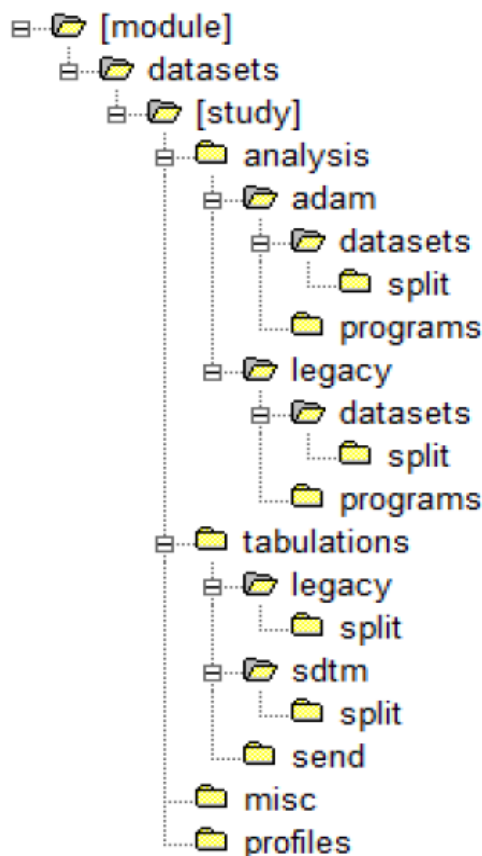


Table 2: Study Dataset and File Folder Structure and Description

| Folder Name | Folder Level | Description/Contents                                                                                                                                                                     |
|-------------|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| [module]    | 1            | Refers to the eCTD module in which study data is being submitted. Name this folder m4 for nonclinical data and m5 for clinical data. Do not place files at this level.                   |
| datasets    | 2            | Resides within the module folder as the top-level folder for study data (nonclinical or clinical) being submitted for the specified module (m4 or m5). Do not place files at this level. |
| [study]     | 3            | Name this folder with the study identifier or analysis type performed (e.g., study123, iss, ise). Do not place files at this level.                                                      |
| analysis    | 4            | Contains folders for analysis datasets and software programs; arrange in designated level 6 subfolders. Do not place files at this level.                                                |
| adam        | 5            | Contains subfolders for ADaM datasets and corresponding software programs. Do not place files at this level.                                                                             |
| datasets    | 6            | Place ADaM datasets in this subfolder.                                                                                                                                                   |
| split       | 7            | Place any split ADaM datasets in this subfolder.                                                                                                                                         |
| programs    | 6            | Place software programs for ADaM datasets, tables and figures in this subfolder.                                                                                                         |
| legacy      | 5            | Contains legacy formatted analysis datasets and corresponding software programs. Do not place files at this level.                                                                       |
| datasets    | 6            | Place legacy analysis datasets in this subfolder.                                                                                                                                        |
| split       | 7            | Place split legacy analysis datasets in this subfolder.                                                                                                                                  |
| programs    | 6            | Place software programs for legacy analysis datasets, tables and figures in this subfolder.                                                                                              |
| misc        | 4            | Place miscellaneous datasets that don't qualify as analysis, profile, or tabulation datasets in this subfolder. This subfolder was formerly named "listings".                            |
| profiles    | 4            | Place patient profiles in this subfolder.                                                                                                                                                |
| tabulations | 4            | Contains subfolders for tabulation datasets. Do not place files at this level.                                                                                                           |
| legacy      | 5            | Place legacy (non-standardized) tabulation datasets in this folder.                                                                                                                      |
| split       | 6            | Place any split legacy tabulations datasets in this subfolder.                                                                                                                           |
| sdtm        | 5            | Place SDTM tabulation datasets in this subfolder. Should only be used in m5 for clinical data.                                                                                           |
| split       | 6            | Place any split SDTM files in this subfolder.                                                                                                                                            |
| send        | 5            | Place SEND tabulation datasets in this subfolder. Should only be used in m4 for animal data.                                                                                             |

# Submission Consideration

- Make the plan as early as possible.
- Enhance the CDISC understanding.
- Be compliant with the applicable data standards endorsed by FDA.
- Be proactive to the changing submission requirements.
- Review Guides (SDRG and ADRG) is very critically important.
  - Support reviewer (who has never seen your data before) to understand the data and be able to work with it.
- Let FDA love the submission package.



Thank You