



PhUSE Single-Day Event

Building High-quality
e-Data Submissions for
FDA Review



SEND Readiness

Challenges and Opportunities for Sponsors

December 2, 2015

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SEND Compliance Objectives

SEND compliance should involve more than implementing a new data format

- Building sponsor-owned integrated study data repositories
- Improving end-to-end data quality and consistency across all study data sources, and between SEND datasets and traditional Study Reports
- Streamlining submission of validated data sets and documentation to FDA
- Providing new data analysis and review tools to keep up with FDA Reviewers ... and improve internal cross-study data accessibility
- Implementing new business processes within both sponsors and CROs to cover all of the above

Vision

- Sponsors need a shared vision for how SEND implementation will improve their R&D operations

**SEND implementation is a strategic opportunity,
not just an FDA mandate**

New Sponsor Requirements

CROs can't implement all SEND compliance requirements, even for sponsors outsourcing 100% of their non-clinical studies

- Design, documentation, and validation of the SEND data model must be integrated into study plans, budgets, and contracts
- Specifications for the integrated SEND data model must be coordinated across internal labs and external CROs
- Consistency between SEND datasets and traditional study reports must be actively managed
- Sponsors now responsible for managing a GLP / 21CFR Part 11 non-clinical data repository, even if it's virtual
- Study teams must learn new analytic tools to validate data submissions and interact effectively with FDA reviewers
- SEND data management and submission processes must track ongoing evolution of requirements and specifications

New elements of the sponsor's study workflow

- Mapping internal LIMS data extracts to SEND data model
- Integrating external CRO datasets
- Dataset versioning and error handling
- Validation and submission of integrated datasets to FDA
- Creating Define Files, Validation Reports, and Study Data Review Guides
- Coordinated response to FDA queries across Study Reports and SEND datasets

SEND Implementation Challenges

SEND implementation is more complex and time consuming than most sponsors expect!

- Need an empowered business process owner and supporting governance model
- Stakeholder education and engagement is required at the Study, Program, and business management levels
- Coordination and planning with CROs
- Adequate implementation, training, and testing resources in the face of competing priorities
- FDA submission process validation across the data custody chain requires ~3-6 test study submissions to exercise
- Concern over premature FDA Kick-Start test submissions ... but FDA likely to become less responsive by late 2016
- Current annual budget planning cycle is in the middle of the SEND test submissions window ... next year is too late!

Sponsors need an integrated vision for SEND compliance now, in order to budget critical resources for 2016

SEND stakeholders

- Senior management (project portfolio and budgeting)
- Study Directors and Program Managers.
- Non-clinical Tox and Safety
- Non-clinical Data Mgmt., Monitors, and Biostatistics (study design, data collection and analysis)
- Internal labs
- External CROs and CRO Outsourcing group
- Regulatory (compliance, operations, FDA liaison)
- IT (repository compliance, communications)
- QA/QC
- Operations Excellence

SEND Implementation Opportunities

New data standards and software allow major improvements in non-clinical study workflow

- Improved “R&D ROI” through better end-to-end data QA, management, and accessibility
- Match FDA analysis capabilities for smooth submission review communications
- Provide new analysis, visualization, and cross-study comparison capabilities for toxicology, safety, PKD, ...
- Facilitate lab and CRO collaborations through up-front Study Data Specification
- Generate tables for the Study Team directly from the SEND repository, providing a ‘single source of truth’
- Potential to reduce overall study costs through more efficient and automated operations

Potential efficiencies

- Higher initial data quality
- Fewer protocol amendments
- Early access to interim datasets
- Automated dataset integration and versioning
- Automated study table generation
- Expedited FDA review
- Faster response to review questions

SEND Implementation Timeline

FDA mandated compliance deadlines are closer than they appear ...

- Now is the time to create a positive vision for SEND implementation to drive 2016 objectives and budget
- Objectives for 2016 should include business process definition, systems implementation, and end-to-end data quality from CROs to FDA

You're not alone

- FDA concerned about Kick-Start resource availability as deadlines approach
- CROs and vendors must support multiple sponsors

Pro Forma Timeline

