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# Standards Update on Oncology from the CDISC Therapeutic Area User Groups and ADaM Oncology Sub-team

Terek Peterson, MBA & Gaurab Chakraborty

December 5<sup>th</sup>, 2015  
Lonavala, Maharashtra

*\* Subject to change*

## Established CDISC Expertise



### 2004 EARLY ADOPTER

- One of First Companies to Submit CDISC Compliant Data
- Corporate Member Since 2005
- Platinum Member and Registered Solutions Provider



### CONTRIBUTION

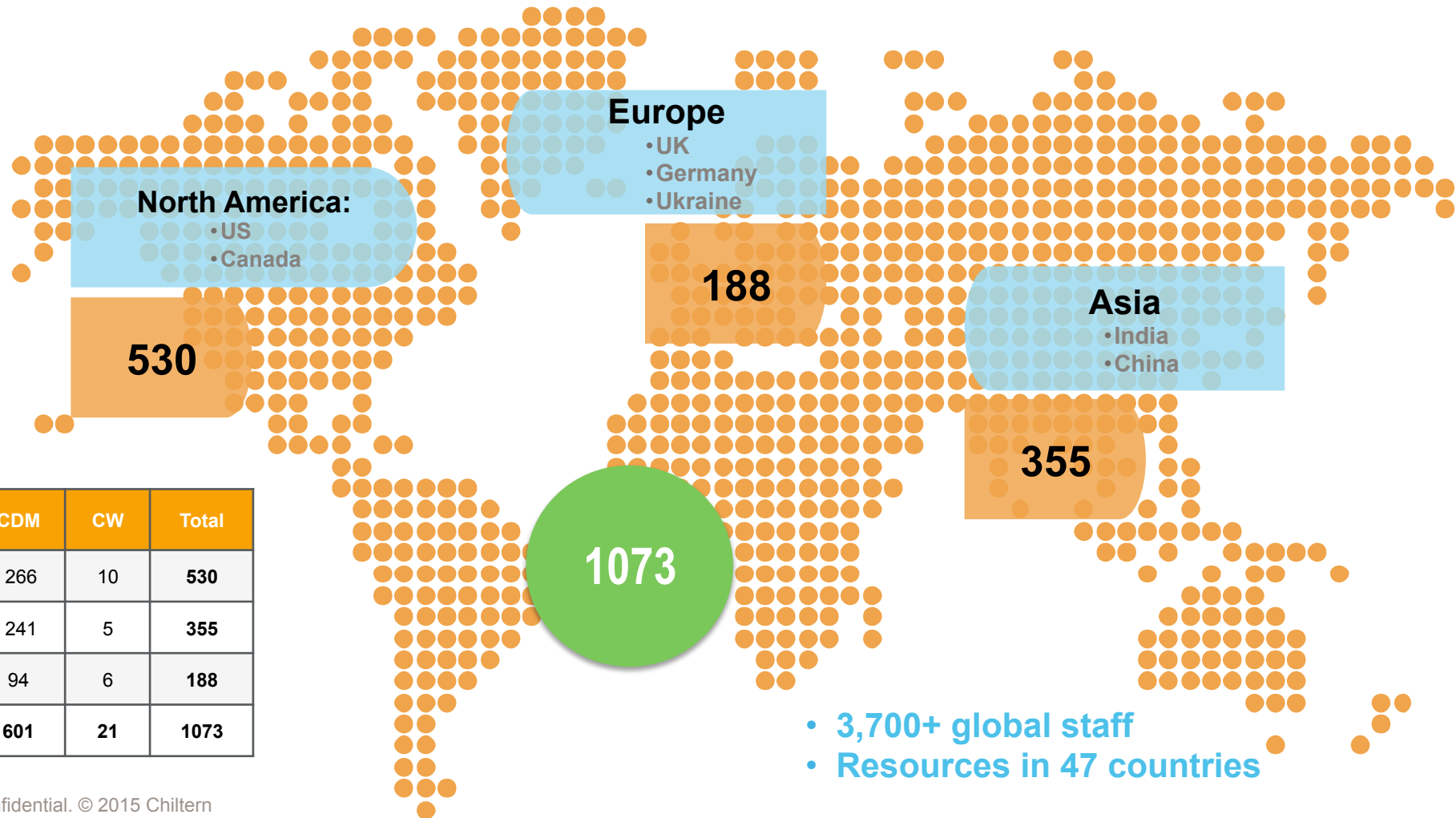
- 3 Outstanding Performance Awards
- Multiple Interchange Presentations
- PhUSE FDA/CSS Working Groups
- 9 Staff Members on CDISC Committees (Including Oncology)
- CDISC Fellow Sponsorship for 2015



### EXPERTISE

- 40,000+ Domains Created
- Submissions
- Expert Training
- Customized Consulting
- Aggressive Projects Completed On Time Due to Issue Anticipation and Vendor Experience

# Chiltern's Worldwide Clinical Analytics Presence

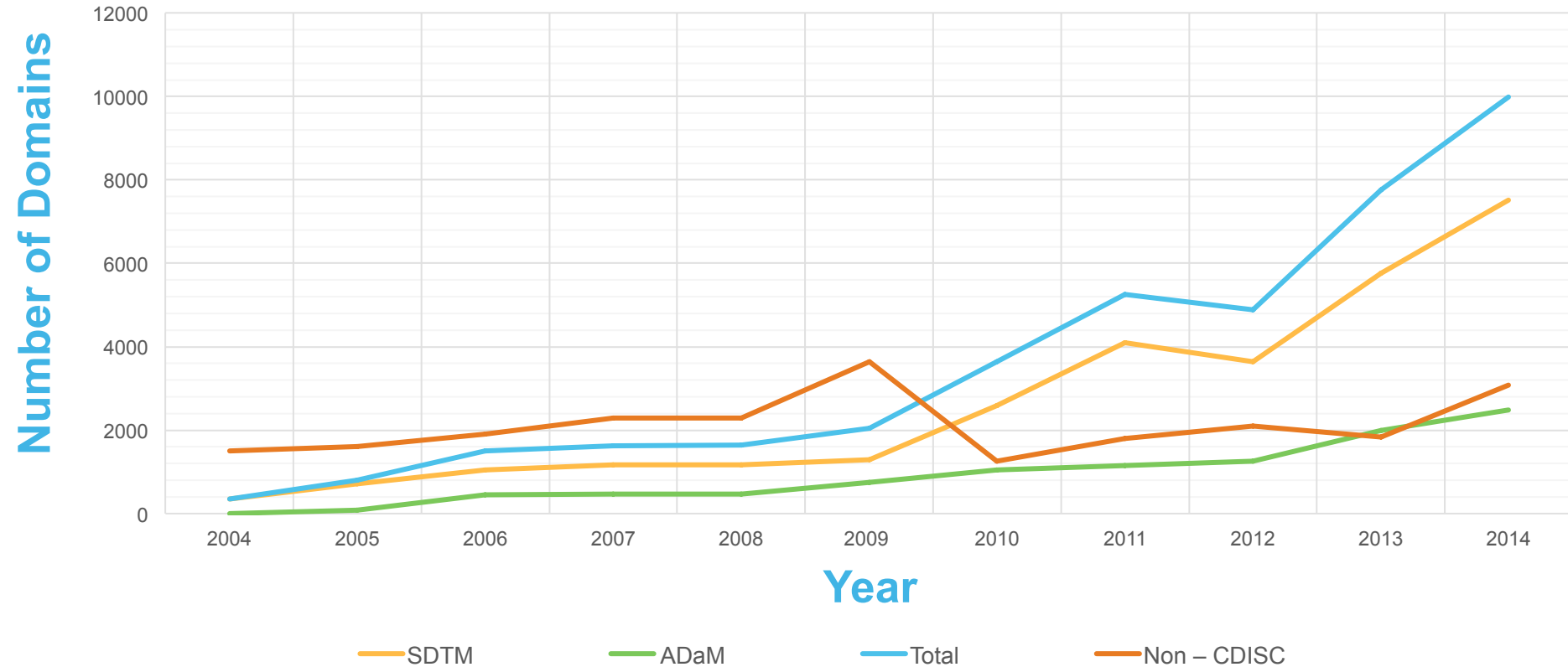


| Region   | BM  | CDM | CW | Total |
|----------|-----|-----|----|-------|
| Americas | 254 | 266 | 10 | 530   |
| Asia Pac | 109 | 241 | 5  | 355   |
| Europe   | 88  | 94  | 6  | 188   |
| Total    | 451 | 601 | 21 | 1073  |

# CDISC: SDTM and ADaM Experience



## CDISC vs Non-CDISC



# Agenda – Standards Update on Oncology



## FDA Guidance & SDTMIG v3.2

- Recent FDA Guidance
- Federal Register Notice  
SDTMIG v3.2 Accepted  
with Exceptions

## Therapeutic Area User Groups

- Current Available  
TAUGs
- CDISC SHARE  
Metadata Spec Project

## ADaM Oncology Sub-team Update

- Suggested Analysis  
dataset structures
- Handling RECIST



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## FDA Guidance & SDTMIG v3.2

# Binding FDA Guidance



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## Providing Regulatory Submissions in Electronic Format — Submissions Under Section 745A(a) of the Federal Food, Drug, and Cosmetic Act

Guidance for Industry

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

December 2014  
Electronic Submissions

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## Providing Regulatory Submissions In Electronic Format — Standardized Study Data

Guidance for Industry

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

December 2014  
Electronic Submissions

## STUDY DATA TECHNICAL CONFORMANCE GUIDE

*Technical Specifications Document*

This Document is incorporated by reference into the following  
Guidance Document(s):

Guidance for Industry *Providing Regulatory Submissions in Electronic Format – Standardized Study Data*

For questions regarding this technical specifications document, contact CDER at [cdcr-edata@fda.hhs.gov](mailto:cdcr-edata@fda.hhs.gov) or CBER at [cber.cdsc@fda.hhs.gov](mailto:cber.cdsc@fda.hhs.gov)

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

October 2015

# FDA Acceptance SDTMIG v3.2 with TAUG Exceptions



## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Food and Drug Administration

[Docket No. FDA-2015-N-2853]

#### Electronic Study Data Submission; Data Standards; Support for Study Data Tabulation Model Implementation Guide Version 3.2

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice

| SDTM IG 3.2 Domain             |                                                                                |
|--------------------------------|--------------------------------------------------------------------------------|
| 1. Healthcare Encounters ..... | Cardiovascular Studies, 1.0; Polycystic Kidney Disease, 1.0; Parkinson's, 1.0. |
| 2. Microscopic Findings .....  | Cardiovascular Studies, 1.0; Parkinson's, 1.0.                                 |
| 3. Morphology .....            | Cardiovascular Studies, 1.0; Parkinson's, 1.0.                                 |
| 4. Procedures .....            | Cardiovascular Studies, 1.0; Polycystic Kidney Disease, 1.0.                   |
| 5. Reproductive System .....   | Polycystic Kidney Disease, 1.0.                                                |
| 6. Disease Response .....      | Tuberculosis, 1.0.                                                             |
| 7. Skin Response .....         | Asthma, 1.0.                                                                   |

Guide (SDTM IG 3.2), an update to the FDA Data Standards Catalog (Catalog), and availability of validation rules for the 3.2 version. SDTM IG 3.2 has been available from CDISC since December 2013. FDA is encouraging sponsors and applicants to use SDTM IG 3.2 (see section II. Exceptions) in investigational study data provided in regulatory submissions to CBER and to CDER.

Federal Register / Vol. 80, No. 159 / Tuesday, August 18, 2015 / Notices 50015

MD 200903-002, 201-796-5333, email: rosald.finan@fda.hhs.gov.

#### SUPPLEMENTARY INFORMATION:

##### I. Background

On December 17, 2014, FDA published a final guidance for industry entitled "Providing Regulatory Submissions in Electronic Format—Standardized Study Data" (eStudy Data) posted on FDA's Study Data Standards Catalog (<http://www.fda.gov/oc/ohrt/study-data-standards-catalog>).

new drug applications (NDAs) to CDER or CDER by specifying the format for electronic submissions. The initial timetable for the implementation of electronic submission requirements for study data is December 17, 2016 (24 months after issuance of final guidance for NDAs, BLAs, ANDAs, and 36 months for INDs). The eStudy Data guidance states that a Federal Register notice will specify the transition date for all submissions to CDER, CDER, and CDER.

submissions for studies that start after March 15, 2016. The Catalog will list March 15, 2016, as the "date requirement begins." When multiple versions of an FDA-supported standard are listed in the Catalog, sponsors or applicants can select a version to use.

##### II. Exceptions

The following SDTM IG 3.2 domains have not completed testing and

## II. Exceptions

The following SDTM IG 3.2 domains have not completed testing and acceptance and are not supported at this time: Death Details and Exposure as Collected. The therapeutic area (TA) standards (<http://www.cdisc.org/>) that are included in SDTM IG 3.2 have not completed testing and acceptance and are not supported at this time. The specific domain and the TA standard are listed in the table that follows:

will be posted to the docket at <http://www.regulations.gov>.

#### IV. Electronic Access

Persons with access to the Internet may obtain the proposed recommendations at either <http://www.fda.gov/oc/ohrt/study-data-standards-catalog> or <http://www.fda.gov/oc/ohrt/study-data-standards-catalog>.

Reduction Act of 1995, the Office of the Secretary (OS), Department of Health and Human Services, announces plans to submit a new Information Collection Request (ICR), described below, to the Office of Management and Budget (OMB). Prior to submitting the ICR to OMB, OS seeks comments from the public regarding the burden estimate, below, or any other aspect of the ICR. **DATES:** Comments on the ICR must be received on or before October 10, 2015.

Community (CHC) Initiative. The initiative supports 10 communities with grants to support coalitions in implementing gender-based public health systems approaches, evidence-based health interventions, and outreach and education activities to reduce barriers to and enhance facilitators of improvements in women and girls' health. Each of the grantees has implemented an IRB-approved local evaluation; however, OWH is seeking to

- First announced in Federal Register notice August 18, 2015
- Access exceptions of several TAUG domains
- Death Details (DD) and Exposure as Collected (EC) not currently supported

# FDA Data Standards Catalog Note Lists without Exceptions



**FDA Data Standards Catalog v4.4 (08-17-2015) - Supported and Required Standards**

This table contains a listing of the data exchange, file formats and terminology standards supported at FDA. These standards have gone through all the steps necessary to make this part of the regulatory review process, including posting of regulatory guidance documents and associated implementation guidelines and technical specifications. The submission of standardized data using any standard not listed, or to an FDA Center not listed, should be discussed with the Agency in advance. This catalog is incorporated by reference in the guidance to industry, *Providing Regulatory Submissions in Electronic format-Standardized Study Data* (<http://www.fda.gov/downloads/Drugs/Guidances/UCM292334.pdf>).

| Use                     | Data Exchange Standard                           | Exchange Format | Standards Development Organization (SDO) | Supported Version | Implementation Guide Version | FDA Center(s) | Date Support Begins (MM/DD/YYYY) | Date Support Ends (MM/DD/YYYY) | Date Requirement Begins (MM/DD/YYYY) | Date Requirement Ends | Regulatory Reference and Information Sources        |
|-------------------------|--------------------------------------------------|-----------------|------------------------------------------|-------------------|------------------------------|---------------|----------------------------------|--------------------------------|--------------------------------------|-----------------------|-----------------------------------------------------|
| Clinical study datasets | Study Data Tabulation Model (SDTM)               | XPT             | Interchange Standards Consortium (CDISC) | 1.4               | 3.2                          | CDER, CBER    | 8/17/2015                        |                                | 03/15/2018 [1]<br>03/15/2019 [2]     |                       | <a href="http://www.cdisc.org">CDISC.org</a> - SDTM |
| Clinical study datasets | SDTM                                             | XPT             | CDISC                                    | 1.3               | 3.1.3                        | CDER, CBER    | 12/01/2012                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | <a href="http://www.cdisc.org">CDISC.org</a> - SDTM |
| Clinical study datasets | SDTM                                             | XPT             | CDISC                                    | 1.2               | Version 3.1.2 Amendment 1    | CDER, CBER    | 08/07/2013                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | <a href="http://www.cdisc.org">CDISC.org</a> - SDTM |
| Clinical study datasets | SDTM                                             | XPT             | CDISC                                    | 1.2               | 3.1.2                        | CDER, CBER    | 10/30/2009                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | <a href="http://www.cdisc.org">CDISC.org</a> - SDTM |
| Clinical study datasets | SDTM                                             | XPT             | CDISC                                    | 1.1               | 3.1.1                        | CDER, CBER    | Ongoing                          | 01/28/2015                     |                                      |                       | <a href="http://www.cdisc.org">CDISC.org</a> - SDTM |
| Clinical study datasets | Analysis Data Model (ADaM)                       | XPT             | CDISC                                    | 2.1               | 1.0                          | CDER, CBER    | Ongoing                          |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | <a href="http://www.cdisc.org">CDISC.org</a> - ADaM |
| Animal study datasets   | Standard for Exchange of Nonclinical Data (SEND) | XPT             | CDISC                                    | 1.2               | 3.0                          | CDER          | 06/13/2011                       |                                | 12/17/2016 [1]<br>12/17/2017 [2]     |                       | <a href="http://www.cdisc.org">CDISC.org</a> - SEND |



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## Therapeutic Area User Groups

# Therapeutic Area User Guides (TAUGs) - Development



- The Coalition for Accelerating Standards & Therapies (CFAST) drives development of Therapeutic Area User Guides (TAUGs).
- Focus – identify core TA concepts and translate into CDISC standards
- SDTM mapping of key TA endpoints results in
  - New domains/variables
  - New control terminology
  - Modelling strategies
- Domains used in TAUGs are distributed across:
  - SDTMIG v3.2
  - SDTMIG Medical Devices v1.0
  - SDTMIG Associated Persons v1.0
  - TAUG draft domains not in any SDTMIG

# Therapeutic Area User Guides (TAUGs) - Status



- Pipeline of TAUG release/updates

| Year  | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 |
|-------|------|------|------|------|------|------|
| TAUGs | 1    | 4    | 7    | 9    | 12   | 10   |

- Projected publication timeline for upcoming TAUG releases/updates

| Therapeutic Area               | Timeline | Therapeutic Area            | Timeline |
|--------------------------------|----------|-----------------------------|----------|
| Traumatic Brain Injury v1      | Q4 2015  | CV Imaging v1               | Q2 2016  |
| COPD v1                        | Q4 2015  | Prostate Cancer v1          | Q3 2016  |
| ADaM Supplement to Diabetes v1 | Q4 2015  | Major Depressive Disorder   | Q3 2016  |
| Breast Cancer v1               | Q1 2016  | General Anxiety Disorder v1 | Q3 2016  |
| Diabetic Kidney Disease v1     | Q1 2016  | Bi-polar Disorder v1        | Q3 2016  |
| Tuberculosis v2                | Q1 2016  | Solid Organ Transplant      | Q3 2016  |
| Rheumatoid Arthritis v1        | Q2 2016  |                             |          |

## Available Therapeutic Area Standards



| TA Standards           | TA Concepts/Endpoints                                                                                                |
|------------------------|----------------------------------------------------------------------------------------------------------------------|
| Alzheimer's Disease v2 | Clinical scales, Biomarkers                                                                                          |
| Asthma v1              | Medical history, Pulmonary functions tests, Exacerbations of Asthma, QoL and composite outcomes, symptoms assessment |
| Cardiovascular v1      | Cardiovascular and stroke endpoints, Imaging, data elements relevant to acute coronary care                          |
| Chronic Hepatitis C v1 | Medical history, PRO, cirrhosis, progression of liver disease, AE & CM of special interest, HCV Viral load testing   |
| Diabetes v1            | Diabetes history, PRO, lab assessments                                                                               |
| Dyslipidemia v1        | Diagnosis, PRO, AE & CM of special interest, Imaging, treatment history, dietary                                     |
| Influenza v1           | Diagnosis, exposure, symptoms, medical history, AE & CM of special interest                                          |

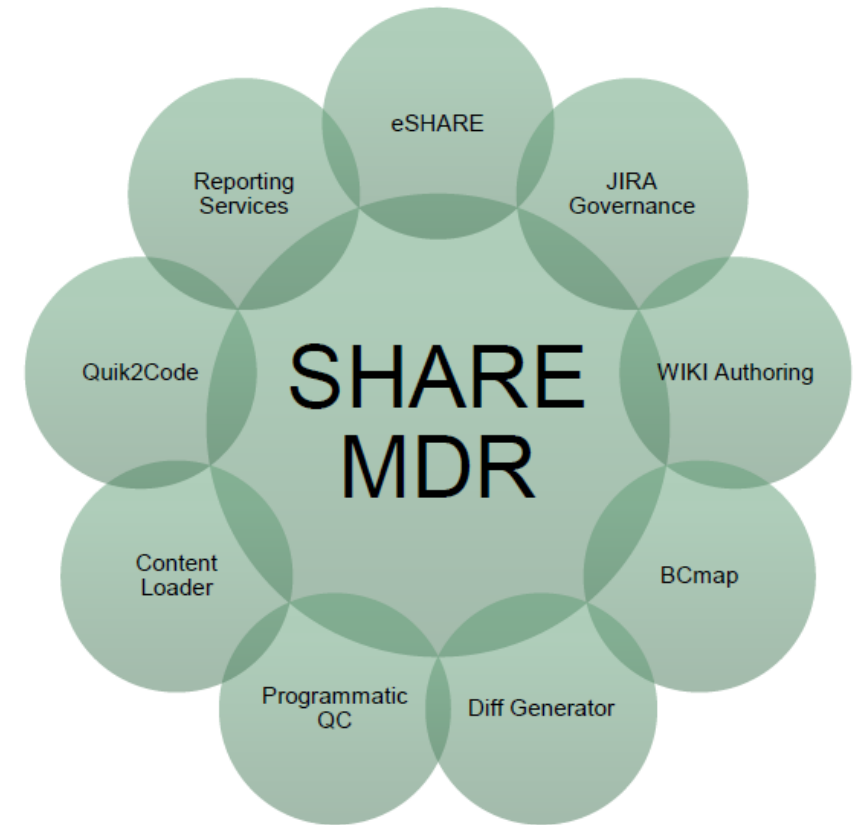
## Available Therapeutic Area Standards - continued



| TA Standards                 | TA Concepts/Endpoints                                                                                 |
|------------------------------|-------------------------------------------------------------------------------------------------------|
| Multiple Sclerosis v1        | Disease course, assessment, Questionnaires                                                            |
| Pain v1                      | Pain conditions, areas of interest in acute/chronic pain, Questionnaires                              |
| Parkinson's Disease v1       | Guidance on mapping strategy, ad-hoc SUPP, ad-hoc terminology                                         |
| Polycystic Kidney Disease v1 | Guidance on mapping strategy, ad-hoc SUPP, ad-hoc terminology                                         |
| QT Studies v1                | ECG related variables, dosing, PK & relevant pharmacogenomics data                                    |
| Schizophrenia v1             | Diagnosis, course of illness, family psychiatric history, psychiatric hospitalizations, rating scales |
| Tuberculosis v1              | Guidance on mapping strategy, ad-hoc SUPP, ad-hoc terminology                                         |
| Virology v2                  | Measurements of viral concentrations from in-vitro resistance testing, ad-hoc domains/variables       |



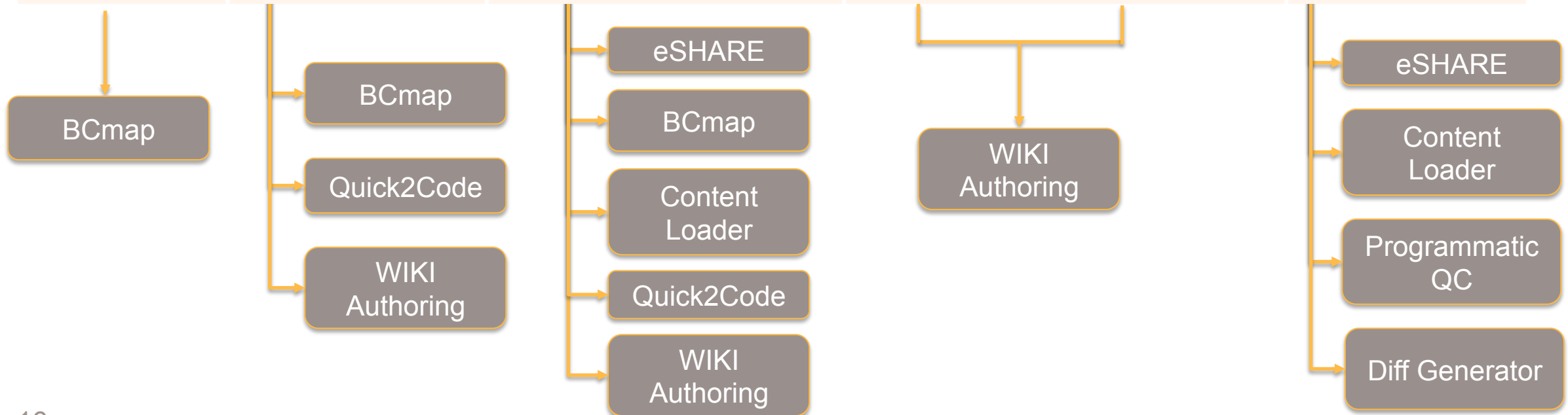
- Reusable biomedical concept templates
  - BCmap
  - Quick2Code
  - Content Loader
- TA Specification – new variable/domain/CT
  - Content Loader
  - Diff Generator
- eSHARE – TA metadata published from SHARE MDR
  - WIKI Authoring
  - Programmatic QC
  - Diff Generator
- WIKI & JIRA Integration - publishing and standards governance
  - WIKI Authoring
  - JIRA Governance



# Prostate Cancer TAUG Development and SHARE



| Stage 0                   | Stage 1                       | Stage 2                                                             | Stage 3         |               |             | Stage 4                 |
|---------------------------|-------------------------------|---------------------------------------------------------------------|-----------------|---------------|-------------|-------------------------|
| Scoping, Inputs, Planning | Concept Definition & Modeling | Standards Development (Metadata, Terminology, User Guide, Examples) | Internal Review | Public Review | Publication | Maintenance & Education |
| Months <1-2               | Months 2-4                    | Months 3-6                                                          | Months 6 – 10+  |               |             |                         |





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# ADaM Oncology Sub-team Update & Handling RECIST

# ADaM Oncology Sub-team Document Creation



- ADaM Oncology Sub-team includes members from Sponsor companies and CROs
- Will be creating a document similar to other supplemental ADaM documents (like ADTTE, ADAE, OCCDS)
- Document targeted for draft release in 2016
- “Provisional content” may be included for certain topics; anything that is “provisional” (i.e., not in the current ADaM IG) will be clearly documented as such
- NOTE: All Sub-team activity is subject to change

# ADaM Oncology Sub-team Document Topics



- Current **planned**\* topics for discussion:
  - **ADSL** – include common baseline characteristics for oncology (e.g., baseline ECOG performance status)
  - **ADCYCLE** – analysis dataset for cycle start/stop dates, dosing start/stop dates within each cycle
    - Vertical structure, help avoid size explosion of ADSL
    - This dataset will be useful if, for example, AEs need to be slotted into cycles. As opposed to needing to carry many period start/stop dates (APxxSDT & APxxEDT up to the maximum # of cycles across patients) within ADSL.

\* *Subject to change*

# ADaM Oncology Sub-team Document Topics



- Current ***planned***\* topics for discussion:
  - **Labs** – National Cancer Institute Common Terminology Criteria (NCI-CTC) toxicity grading
    - *May get pulled out of oncology document and moved into its own separate document*
  - **Exposure** – parameters common to oncology trials (e.g. cumulative dose, dose intensity, dose delays)
  - **Survival analysis** – parameters common to oncology trials (e.g. overall survival [OS], progression-free survival [PFS], duration of response)
    - Will not be specifying algorithm/derivation rules, but rather suggesting naming conventions for PARAM/PARAMCD
  - **Best response** – based on RECIST criteria – like survival analysis, will mainly suggest naming conventions

\* *Subject to change*

# ADaM Oncology Sub-team Document Contents



- Current *planned*\* sections underneath each topic:
  - Purpose
  - Background
  - Analysis results (expected to include a sample mock and analysis results metadata)
  - Variable metadata
  - Controlled terminology when proposed
  - Provisional content

\* *Subject to change*

# Handling RECIST Response Evaluation Criteria In Solid Tumors



- Set of published rules that define when cancer patients improve ("respond"), stay the same ("stable") or worsen ("progression") during treatments.
- Both SDTM and ADaM have mechanisms to store RECIST Scores
  - SDTM may store algorithmically created scores created by the EDC system or Site personnel in SDTM.RS (Response)
    - Maybe used for Inclusion/Exclusion criteria
    - Considered Operationally derived
    - Collected data with minimal derivation
  - ADaM ADORS or TTE is more robust allowing for SAP directions and programming instructions
    - Imputations, Interpolations, Missing observations, etc.
    - Analysis Derived
- All the data in SDTM RS are collected in eCRF, but response data should be derived or check response data to determine the investigator calculated correctly

# Operationally Derived (SDTM) vs. Analysis Derived (ADaM)



- Operationally Derived data is typically computed at the point of data capture or by data management systems during study conduct
  - Prior to making data available for analysis
  - Values not statistically adjusted
  - Stored in SDTM as part of a domain
  - May not be suitable for analyses due to lack of methods for statistical adjustment or selection
- Analysis Derived (ADaM) value typically computed after data capture
  - Associated with derivation logic specified by a statistician and SAP
  - Handling of missing or ill conditioned data
  - Operationally Derived may not equal the same Analysis Derived value
- In conclusion, derive the best responses in ADaM using tumor results from the TR domain and compare those against the responses provided by investigators in the RS domain within an ADaM structure



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## Conclusion and Contact Information

# Agenda – Standards Update on Oncology



## FDA Guidance & SDTMIG v3.2

- Recent FDA Guidance
- Federal Register Notice SDTMIG v3.2 Accepted with Exceptions

## Therapeutic Area User Groups

- Current Available TAUGs
- CDISC SHARE Metadata Spec Project

## ADaM Oncology Sub-team Update

- Suggested Analysis dataset structures
- Handling RECIST



Many Thanks!

Gaurab and Terek would like to thank Beth Seremula for providing content to this presentation from the ADaM Oncology subteam. Beth has been involved with standards for many years and presented on standards related topics including most recently a presentation on different approaches to the integration of data from SDTM or ADaM. She is actively involved in the ADaM team and ADaM Oncology subteam.

# Questions?



## Contact:

Terek Peterson, MBA  
Senior Director, Global Statistical Standards  
Terek.Peterson@Chiltern.com  
Direct: +1 610 627 9436  
Mobile: +1 484 560 8960  
King of Prussia, Pennsylvania  
USA

## Contact:

Gaurab Chakraborty  
Associate Director, Statistical Programming  
Gaurab.Chakraborty@Chiltern.com  
Direct: +91 80 4065 0413  
Mobile: +91 96864 83145  
Bengalore, Karnataka  
India